

# **An Adaptive Data Link Layer Protocol for Wireless ATM Networks**

by

**Sunil Jagannath**

B.E., University of Mysore, India, 1994

Submitted to the Department of Electrical Engineering and Computer  
Science and the Faculty of the Graduate School of the University of  
Kansas in partial fulfillment of the requirements  
for the degree of Master of Science.

---

Professor in Charge

---

Committee Members

---

Date thesis accepted

*Dedicated to*

My Parents, Dasarao and Chitra Jagannath for their encouragement and support and to my late grandparents Hari and Sarojini Balaram Rao whose memory will be with me always.

## **Acknowledgments**

I would like to thank Dr. Victor Frost, my advisor and committee chair for his patience and encouragement throughout my study here at KU. His guidance and advice have been invaluable throughout the course of this work. I would also like to thank Dr. Sam Shanmugan for giving me the opportunity to come here to KU and work on the RDRN project. It has been a tremendous learning experience and I am grateful for it. I would also like to thank him and Dr. Glenn Prescott for serving on my masters committee.

Lastly I would like to acknowledge my colleagues on the project Stephen Bush and Ricardo Sanchez. I have enjoyed the many discussions we have had and working with them has benefitted me greatly.

# Abstract

The last few years has seen a growing demand for wireless integrated network services. This surge of interest is due to several factors such as the increased availability of wireless personal computing, entertainment and communication devices, liberalization of spectrum allocation procedures and advances in digital signal processing and radio modem technologies. This growing interest has motivated several researchers to examine the feasibility of extending the ATM paradigm from the wireline to the wireless domain. This is a non trivial task that poses several technical challenges. Most of these challenges arise from the inherent differences between the wired and wireless media and from user mobility in the wireless world. The work in this thesis presents solutions for some of these problems and is part of a complete wireless integrated network solution.

One of the main problems with wireless ATM is overcoming the unreliability of the wireless link in order to maintain quality of service requirements over the wireless portion of the network. This work solves this problem through an adaptive data link layer protocol. The protocol distinguishes between delay-sensitive and delay-insensitive traffic and uses go-back-n ARQ for error recovery of the delay-insensitive traffic. Delay-sensitive traffic received with errors is dropped. In addition a simple channel state estimation algorithm is implemented at the data link layer to detect changes in the channel state between low error rate and high error rate conditions. This state informa-

tion is used to adapt the wireless frame length and retransmission mechanism in order to maximize the throughput under all error rate conditions.

Performance measurements of the adaptive data link layer protocol under various test scenarios are also presented. The performance measurements indicate the usefulness of each of the adaptive features. The conclusions that can be drawn from this work are listed and some suggestions for future work are also provided.

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Motivation and some History . . . . .	2
1.2	Our Contribution . . . . .	3
1.3	Organization of this Thesis . . . . .	4
<b>2</b>	<b>Background and Related Work</b>	<b>6</b>
2.1	Wireless ATM - rationale and challenges . . . . .	6
2.2	Overview of RDRN . . . . .	10
2.3	Other Wireless ATM Systems . . . . .	12
2.3.1	SWAN - Bell Laboratories . . . . .	12
2.3.2	BAHAMA - Bell Laboratories . . . . .	13
2.3.3	WATMnet - NEC C&C Research Laboratories . . . . .	13
2.3.4	Cambridge-Olivetti Research Laboratories . . . . .	14
2.3.5	Carleton University . . . . .	14
2.4	Need for an Adaptive Data Link Control Protocol . . . . .	15
2.4.1	Data Link Control in other Wireless ATM Networks . . . . .	16
2.4.2	Data Link Control in Packet Radio Networks . . . . .	20
2.5	The Adaptive Data Link Control Protocol for RDRN . . . . .	21

2.6	Background on Link State Determination and Pre-emptive Retransmission Mechanisms . . . . .	22
<b>3</b>	<b>The RDRN Adaptive Data Link Layer Protocol</b>	<b>24</b>
3.1	The RDRN network architecture . . . . .	24
3.1.1	Adaptive ATM Layer . . . . .	27
3.1.2	SAR layer . . . . .	28
3.1.3	Wireless ATM Interface Layer . . . . .	29
3.2	The adaptive data link control layer . . . . .	29
3.2.1	Wireless Frame Structure . . . . .	30
3.2.2	Frame Types Used . . . . .	33
3.3	Protocol Operation and Implementation . . . . .	36
3.3.1	Private Data Structures . . . . .	36
3.3.2	Protocol Operation . . . . .	40
3.4	Link State Estimation . . . . .	42
3.5	Adaptive Frame Length . . . . .	48
3.6	Pre-emptive Retransmissions . . . . .	49
<b>4</b>	<b>Performance Measurements</b>	<b>52</b>
4.1	Setup used for Performance Measurements . . . . .	52
4.1.1	Random Error Generator . . . . .	53
4.2	Optimal Frame Size for Good and Bad States . . . . .	54
4.3	Effect of Adaptive Frame Lengths on Throughput . . . . .	56
4.4	Effect of N_Copy Mechanism on Throughput . . . . .	58
4.5	Effect of the Combination of Adaptive Frame Lengths and N_Copy on Throughput . . . . .	61

<b>5</b>	<b>Conclusions</b>	<b>64</b>
5.1	Summary of Results and Conclusions . . . . .	64
5.2	Some Suggestions for Future Work . . . . .	65

# List of Tables

2.1 Comparison of various DLC Schemes. . . . . 19

# List of Figures

2.1	Typical wireless enhanced ATM protocol stack . . . . .	10
3.1	High-Level RDRN Architecture . . . . .	25
3.2	EN Network Architecture . . . . .	26
3.3	RN Network Architecture . . . . .	27
3.4	Wireless Frame Format . . . . .	31
3.5	Control Field Formats . . . . .	34
3.6	S Frame Control Field Formats . . . . .	35
3.7	U Frame Control Field Formats . . . . .	36
3.8	Contents of the Control Block Structure . . . . .	37
3.9	Contents of the aps info structure . . . . .	39
3.10	Effect of Large Synchronization Delays on State Estimation . . . . .	45
3.11	State Estimation Control Field Formats . . . . .	46
3.12	Flow Diagram for Channel Estimation Process . . . . .	47
3.13	Flow Diagram for Channel Estimation Process (contd.) . . . . .	51
4.1	Variation of Throughput versus Frame Size when the Channel is in the Good State. . . . .	55
4.2	Variation of Throughput versus Frame Size when the Channel is in the Bad State. . . . .	56

4.3	Variation of Throughput without any Adaptive Mechanisms. . . . .	58
4.4	Variation of Throughput with Adaptive Length Mechanism. . . . .	59
4.5	Variation of Throughput with 2-Copy Mechanism. . . . .	60
4.6	Variation of Throughput with 3-Copy Mechanism. . . . .	61
4.7	Variation of Throughput with Adaptive Length and 2-Copy Mechanisms.	62
4.8	Variation of Throughput with Adaptive Length and 3-Copy Mechanisms.	62
4.9	Variation of Throughput with Adaptive Length and 2-Copy vs Adaptive Length and 3-Copy Mechanisms. . . . .	63

# Chapter 1

## Introduction

The last few years has seen an explosion in wireline broadband networking technologies. This has been driven by an increased user demand for video teleconferencing, image applications, world wide web access and other multimedia applications as well as advancements in key enabling technologies such as high-speed digital transmission (optical fiber), digital signal processing and high-speed integrated circuits. In this time Asynchronous Transfer Mode (ATM) has emerged as the front runner in integrated telecommunication technologies. ATM provides high-speed transfer, integration of traffic types, flexible bandwidth allocation and service type selection for a range of applications, efficient multiplexing of data from bursty data/multimedia sources and simplified network management. It is rapidly becoming a worldwide standard and has moved from concept to reality in the space of a few years.

Wireless personal communication networks have also emerged as an important field of activity in telecommunications. This surge of interest is due to several factors such as the increased availability of wireless personal computing, entertainment and communication devices, liberalization of spectrum allocation procedures and advances in digital signal processing and radio modem technologies. While these systems have

initially focused only on voice and primitive packet data applications, it is recognized that they will have to evolve toward supporting a wider range of applications involving video and multimedia. The increased dependence on networking for business, recreation and communications, the growing demand for multimedia applications together with a human desire for mobility and freedom from office-only or home-only computing constraints makes a strong argument for wireless integrated networks.

## **1.1 Motivation and some History**

The growing interest in wireless integrated networks has motivated several researchers to examine the feasibility of extending the ATM paradigm from the wireline to the wireless domain. This is a non trivial task that poses several technical challenges. Many of these challenges arise from the inherent differences between the wired and wireless media. ATM was designed for a time-invariant, reliable medium where bandwidth is not a significant constraint. However, the wireless channel is usually time-varying with a high bit error rate and limited bandwidth. The ATM protocol provides no built-in mechanism to recover from errors or cell losses due to problems with the underlying transport medium. Thus one of the requirements for making wireless ATM a reality is a method of compensating for the low reliability of the wireless channel. The obvious solution to this problem is to employ a protocol at the data link level that handles the error recovery and control over the wireless channel and provides the ATM layer with a more reliable transport medium. This work presents such a protocol.

In addition, a medium access protocol is needed to control usage of the wireless medium and allow channel sharing by multiple terminals. Some enhancements are also needed at the ATM level to support terminal mobility within a fixed ATM network. In particular issues like handoff control, location management and routing/quality of

service (QOS) control need to be addressed.

The first proposals for wireless ATM appeared in the literature in 1992. Since then there has been considerable work done to find solutions to the problems described above. Architectures and system designs for complete wireless ATM systems have been proposed and by the time of this writing, several of these have been developed into working prototype systems. These efforts have shown that the concept of wireless ATM is feasible and desirable.

## **1.2 Our Contribution**

There have been some data link layer protocols for wireless ATM networks proposed by other researchers in the literature [2, 12, 26]. The purpose of all these schemes is to perform error control and recovery over the wireless portion of the network. Almost all of these schemes use automatic repeat request (ARQ) with selective repeat supplemented by forward error correction coding. These techniques have high complexity and can only be implemented efficiently using special purpose hardware. Thus while they are quite efficient, they lack flexibility.

Wireless channels are usually time-varying and the channel bit error rates vary as the surrounding environment changes. However all of the protocols developed earlier fail to recognize this characteristic of the wireless medium.

Also most of the protocols in the literature are designed for a pico-cellular, indoor wireless environment. They focus more on reliability over the air and less on the throughput performance of the protocol.

This thesis presents an adaptive data link layer protocol for a macro-cellular, mobile wireless ATM network with link distances of several kilometers. It uses go-back-n ARQ for error control and allows standard ATM QOS parameters to be extended over

the wireless portion of the network. The entire protocol stack has been implemented in software which makes the system very flexible. It also makes it an ideal test bed for future research in adaptive wireless networks. Also a simple method is proposed for channel state estimation at the data link level. This estimate of the channel is used to adapt the operation of the protocol to the wireless channel conditions, with the aim of maximizing throughput under all channel conditions. Performance measurements of the protocol that show the effect of the adaptations on the throughput are also presented.

### **1.3 Organization of this Thesis**

In this section we will describe the organization of the rest of this thesis.

Chapter 2 provides some background information and discusses related work in the field of data link layer protocols for wireless ATM networks. We start by explaining the rationale for wireless ATM and some of the challenges in making this concept a reality. We provide a perspective on wireless ATM and briefly introduce several current wireless ATM research efforts. We also discuss the need for a data link control protocol in wireless ATM networks and briefly compare and contrast our scheme with others in the literature.

Chapter 3 describes our adaptive data link protocol. We present the format of the wireless frame we have developed and explain the operation of the protocol in detail. We also explain the method we have adopted for channel state estimation at the data link layer and where and how we use this information about the estimated channel state. The rationale behind the adaptive frame lengths and frame retransmission is explained.

Chapter 4 describes the test environment and set up we use to make performance measurements of our adaptive data link layer protocol. Throughput measurements under various scenarios are presented and the results discussed.

Finally chapter 5 gives a summary of this work and states the conclusions we have drawn. Suggestions for extensions to this work in the future are also made.

# Chapter 2

## Background and Related Work

### 2.1 Wireless ATM - rationale and challenges

Wireless ATM would appear in theory to be the obvious driving technology behind tomorrow's wireless integrated network services. However, ATM was designed for an environment where the hosts do not move and are connected to a switch by a relatively error-free and high-speed point-to-point wired link - an environment very different from the wireless one. Hence before we delve deep into the issues of wireless ATM, we need to explain the rationale behind wireless ATM and what the challenges might be in implementing it.

ATM is a flexible, scalable technology that is rapidly proliferating the world over. It promises to be at the core of all future multimedia networks. Thus the first argument in favour of wireless ATM is homogeneity. Extending the ATM model over the wireless portion of the network would lead to a homogeneous end-to-end network, simplifying the architecture. It would make the whole network standards based and provide tether-less extension of a fiber-optic based ATM network in a transparent, seamless and efficient manner.

ATM provides virtual circuits whose quality of service (QOS) parameters are negotiated at set-up time between the endpoints and the network. Extending this model of QOS-specifiable Virtual Circuits (VCs) over the wireless portion of the network can provide many advantages. For example, at the medium access layer, VC numbers can be used to suitably allocate shared wireless channel resources. Similarly, link-level error control schemes can be suitably adapted depending on the characteristics of the individual VC. In other words, VCs with QOS parameters in a wireless ATM network allow data packets being sent over the air to be meaningfully distinguished and not treated according to one generic policy.

The use of small fixed size ATM cells over the wireless portion of the network also affords some advantages. Since wireless links have high bit error rates, using small packets keeps the packet loss probabilities down to acceptable levels and makes retransmission-based error control at the link level feasible. This is because the packet error rate depends on the bit error rate and the packet size and for a given bit error rate, the packet error rate increases as the packet size increases. Also, the fine-grained multiplexing provided by ATM cells is well suited to slow-speed wireless links since it leads to lower delay jitter and queuing delays. In other words, use of ATM cells over wireless portion provides the advantage of cut-through switching, which cannot be obtained in IP-based wireless local area networks. This is because the ATM cells can be switched as soon as they are received instead of having to receive the entire packet before routing as with IP networks.

The reader should by now be convinced of the need for and advantages of wireless ATM. However there are still several challenges to be overcome in taking wireless ATM from concept to reality. These challenges mainly stem from the fundamental differences between the wireline and wireless environments.

The first of these differences is in the limited bandwidth available in the latter

medium. Unlike in wireline communications wherein an increasing user population can be accommodated by deploying more fiber to connect these users to the network, the available radio spectrum cannot be arbitrarily expanded. ATM, with its approximately ten percent header overhead per cell, was designed for bandwidth-rich environments and effectively trades bandwidth for simplicity in switching. Thus, the limited bandwidth of the shared wireless medium can significantly impact the efficiency of wireless ATM.

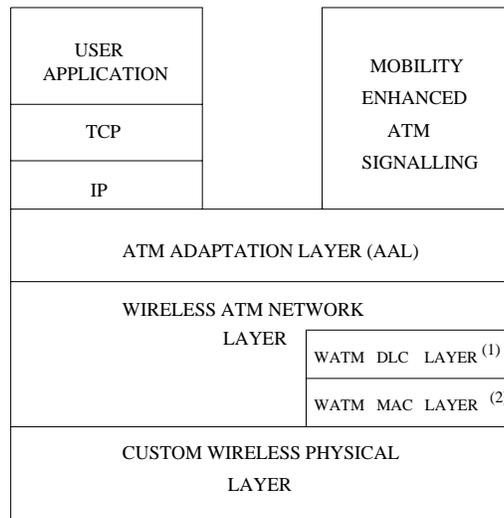
The second difference is in the time-varying quality of the wireless link between the mobile user and the base station. The radio link is subject to several time-varying impairments arising from the user mobility and changes in the surrounding environment, which cause multi-path propagation, shadow fading and distance-dependent path loss. These impairments manifest themselves in the form of a time-varying link bit-error rate (BER), with the BER often too high to meet the needs of the application. Since ATM assumes the use of a very reliable transport medium, it provides no built-in error control or recovery mechanisms. Thus the extension of ATM to an error-prone wireless setting would require additional link-level error control mechanisms.

Inherent user mobility in the wireless environment also poses additional challenges. First, some extraordinary means must be created to allow users to signal connection requests before the establishment of the connection. Second, there must be a method for the network to determine a called mobile user's location prior to establishment of a connection to that user. Third, a mobile user must be continuously tracked as he moves, throughout the duration of a connection. In case the user moves out of range of the currently serving base station, a *handoff* must be made to the next serving base station in such a way that QOS guarantees are maintained. Efficient handoff is especially critical in a multimedia setting.

Thus we see that some significant enhancements are needed to adapt ATM to a mo-

mobile and wireless setting. These enhancements fall into two major categories : mobility related enhancements at a higher level for radio-independent mobility control functions and wireless-related enhancements at the lower level to tackle wireless link specific problems. The main functions of the mobility-related enhancements are : location determination and management to enable mapping mobile users to their current locations; handoff control for dynamic VC rerouting during user migration; and routing and QOS control to deal with route changes and optimizations during handoff while maintaining any required QOS parameters. The main functions of the wireless-related enhancements include : high-speed physical-level transmission and reception, medium access control for channel sharing by multiple users and data link control to compensate for the high wireless channel BER. The approach usually adopted is to add new wireless channel specific physical, medium access control and data link control layers below the ATM network layer. In addition the baseline ATM network and signaling protocol are enhanced to support specific mobility related functions such as handoff and QOS renegotiation in response to changes in channel conditions. Figure 2.1 shows a typical wireless enhanced ATM protocol stack.

There has been considerable worldwide research activity in the field of wireless ATM in the last few years. These research efforts have demonstrated that the concept of wireless ATM is feasible and one which can offer significant advantages. In the next two subsections, we will present high-level overviews of each of these research projects and highlight their individual contributions and focus. This will help us better illustrate where and how our work fits in.



(1) - Wireless ATM Data Link Control Layer

(2) - Wireless ATM Medium Access Control Layer

Figure 2.1: Typical wireless enhanced ATM protocol stack

## 2.2 Overview of RDRN

The Rapidly Deployable Radio Networks (RDRN) project is a DARPA funded wireless ATM research project at the University of Kansas. This work is a part of the RDRN project. The goal of RDRN is to create an ATM-based wireless communication system that will be adaptive at both the link and network levels to allow for rapid deployment and reconfiguration in response to a changing environment. The objective of the architecture is to use an adaptive point-to-point topology to gain the advantages of ATM for wireless networks. Possible application areas for RDRN are in battlefield communications and in disaster relief operations.

Each node in the RDRN system is equipped with a Global Positioning System (GPS) receiver, a packet radio system used for out-of-band signaling, a phased-array steerable antenna and a wireless ATM interface to integrate seamlessly with a wide area ATM network. Digital beamforming is used to create directed beams and allow

for spatial reuse of transmission frequencies. Location and call management information are passed using the out-of-band packet radio based orderwire network. The orderwire network thus handles all the pre-connection establishment signaling used to set up the high-speed ATM data paths. GPS based position updates are also transmitted over the orderwire network and help in tracking mobile nodes. Link distances of several kilometers between the base station and mobile nodes are being considered. The base stations in this network also have switching capabilities and hence multiple wireless hops to a particular mobile destination node as well as transmission between two wireless nodes entirely over the wireless portion of the network are also possible. This differs from most other wireless ATM research networks which are essentially micro-cellular indoor networks with only a wireless last hop.

The use of digital beamforming allows the multiple beams formed by a specific transmitter to all be of the same center frequency. However, different frequencies are used on the uplink and downlink directions. Within each beam, a time division multiple access (TDMA) structure is used to partition the total bandwidth between multiple users. The wireless ATM network supports ATM signaling and makes use of ATM quality of service parameters at the link level. An adaptive data link layer protocol is used to perform error control and ameliorate the wireless link impairments. This adaptive protocol is the focus of this work.

A proof-of-concept RDRN system has been built to demonstrate the key technologies. Details of this proof-of-concept system can be found in [13]. The operation and performance analysis of the orderwire subsystem are described in [9] while details of the RDRN network architecture can be found in [10].

## **2.3 Other Wireless ATM Systems**

In this section we will briefly introduce some of the other active wireless ATM research efforts discussed in the literature. This list is not exhaustive and is intended only to give the reader an idea of the state of the art in this area. These research projects will be discussed in more detail with particular emphasis on the data link protocol used in each project, in the next section.

### **2.3.1 SWAN - Bell Laboratories**

Seamless Wireless ATM Network (SWAN) is an experimental indoor wireless ATM network being developed at the networked computing research department of Bell Laboratories. It is based on room-sized pico cells and mobile multimedia endpoints. The network model of SWAN consists of base stations connected by a wired ATM backbone network and wireless ATM last hops to the mobile hosts. The distinguishing features of SWAN include low-latency VC rerouting algorithms based on performance-triggered rebuilds, custom reconfigurable and miniature wireless ATM adapter hardware, and support for end systems ranging from laptops to dumb multimedia terminals. Mobility enhanced signaling is done through an ATM connection management function. A simplified token-passing MAC protocol is used for wireless resource sharing with each mobile assigned its own channel or radio-port on the base station. The synchronous data link control (SDLC) protocol is used over the air and a 2-byte CRC code is used for error detection in VCs using link level retransmission. Mobile hosts and base stations are embedded with custom-designed ATM adaptor cards. A detailed description of the SWAN hardware, software and network architecture can be found in [2].

### **2.3.2 BAHAMA - Bell Laboratories**

BAHAMA is another wireless ATM LAN/PBX system being developed at Bell Laboratories. The proposed LAN consists of network nodes called Portable Base Stations (PBSs) providing microcell coverage. BAHAMA employs a concept of ad-hoc networking in the layout of the PBS-to-PBS interconnections in that the PBSs can be distributed in an arbitrary topology to form a backbone network that can be reconfigured with relative ease. A new wireless ATM VP/VC is introduced whereby a “VPI” corresponds to a particular destination PBS rather than to a virtual path of base stations and links. Mobility is supported by means of an adaptive homing algorithm. The network employs a wireless data link layer that provides high reliability based on both ARQ and FEC. Multiple access is provided by an efficient demand-assignment channel access protocol called DQRUMA. Details of the network concept and prototyping system can be found in [12].

### **2.3.3 WATMnet - NEC C&C Research Laboratories**

WATMnet is a prototype wireless ATM system under development at NEC USA's C&C Research Laboratories, based on their proposed architecture and framework for next-generation wireless integrated communication networks. The basic idea of their proposed system is to use a standard ATM cell for network-level functions, while adding a wireless header/trailer on the radio link for wireless-channel specific protocol functions. The system is designed for micro-cellular and pico-cellular environments. A centrally controlled dynamic TDMA/TDD (Time Division Duplex) protocol is used for medium access control and a QOS based protocol using error detection and retransmission is used for link level error control. Time-of-expiry (TOE) based queue service disciplines are also investigated as a mechanism for improving QOS in this scenario.

Mobility related functions are handled by suitable mobility support extensions to ATM signaling/control protocols implemented at the base stations and switches within the network. Details of the system architecture and protocols can be found in [22].

#### **2.3.4 Cambridge-Olivetti Research Laboratories**

An experimental wireless ATM local-area network was built at Cambridge-Olivetti Research Laboratories in 1994. The mobile network consists of a large number of small transmission pico-cells, each served by a base station. All base stations operate on the same frequency and are interconnected via the wired ATM network. The unit of transmission over the wireless link is also the ATM cell with the headers suitably modified to accommodate QOS and the VPI/VCI field condensed. The protocol used for medium access control is slotted ALOHA with exponential backoff. The slot size used is equivalent to an ATM cell size. A 16 bit CRC code is used for error detection and retransmissions of errored or out of sequence cells are used for error correction. Hand-over and diversity are also used to try and maintain wireless link performance. The system architecture and some initial network performance measurements are described in [20].

#### **2.3.5 Carleton University**

The work by researchers at Carleton University and the Canadian Institute of Telecommunications Research (CITR) in [14] describes a system architecture for a broadband indoor wireless digital communications system, capable of transporting ATM at transport bit rates up to about 160Mbps for broadband LANs. Access is via a radio system within the 20 to 60 GHz range. The multi-access microcellular architecture exploits millimeter-wave and surface-acoustic-wave (SAW) device technologies. Uplink and

downlink share the same frequency in time division duplex (TDD) mode. The multi-access protocol is based on an adaptive-rate polling scheme, where ATM cells are packaged in 64-byte envelopes. Error control is based on ARQ with selective repeat, supplemented by high rate forward error control coding. Most of the emphasis in this work seems to be on tackling the radio access layer problems and not much has been said on mobility related problems.

## **2.4 Need for an Adaptive Data Link Control Protocol**

We have already explained the need for and advantages of wireless ATM and also the challenges in making it a reality. One of the most significant of these challenges is in the time-varying impairments on the wireless link. These impairments are caused by multipath propagation, shadow fading and distance-dependent signal power path loss arising from inherent user mobility and changes in the surrounding environment (such as birds in flight, moving tree limbs or passing vehicles). They manifest themselves in terms of a time-varying BER performance of the wireless link, with the BER often too high to meet the needs of the application.

ATM was designed for an environment where the hosts do not move and assumes the transmission medium is a relatively error-free and reliable point-to-point wired link. It provides no built-in error control or recovery mechanisms and leaves these to the discretion of higher layer protocols. It must be noted that ATM networks can work in two modes, viz., *native mode ATM* or *TCP/IP over ATM*. Native mode ATM applications directly use ATM by means of an adaptation layer while TCP/IP over ATM networks provide ATM as a transport mechanism for the existing TCP/IP protocol suite. Thus in native mode ATM networks, any required error control must be done below the ATM layer. In TCP/IP over ATM networks with more than one wireless hop or with one

wireless hop and a large separation between endpoints, the delay incurred in doing end-to-end error control at the TCP layer can be very large. Thus we see that to adapt ATM to a wireless environment there is a need for error control and recovery mechanisms below the ATM layer irrespective of which mode of ATM networking is adopted.

This problem of error control in wireless ATM networks can be tackled at two different levels. At the physical level, intelligent and efficient diversity combining and modulation techniques can be used to minimize the channel impairments described above and thus reduce the channel BER. In addition, given a particular channel BER, a data link control protocol incorporating retransmissions and/or forward error correction coding can be used for error control at the link level. In this work, we have focussed on developing such an adaptive data link control protocol. The purpose of this protocol is to insulate the ATM network layer from wireless channel impairments by retransmission of erroneous or lost cells before they are passed on to the ATM layer.

### **2.4.1 Data Link Control in other Wireless ATM Networks**

We will now briefly review the link level error control mechanisms used in the wireless ATM networks introduced earlier. This will give the reader an idea of the various alternatives and allow one to compare and contrast our scheme with others in the literature.

#### **SWAN**

The SWAN network at Bell Laboratories uses FEC and selective retransmission optionally specified on a per-VC basis at connection set-up, for link level error control. Synchronous Data Link Control (SDLC) frames are sent over the air with each SDLC frame containing one or more *link* cells. *Link* cells are either of type ATMLC defined to carry an encapsulated ATM cell or of type MACSIGLC for MAC-level signaling. All

link cells are composed of a fixed 6-byte header, and a body whose contents depend on the type of *link* cell. The fixed 6 byte header consists of 3 bytes of radio port-id and MAC protocol information and a 3 byte FEC field that uses an (8,4) linear code to forward error correct the preceding 3 bytes. The body of the ATMLC contains an encapsulated ATM cell together with link-level error control information ( a 2 byte CRC code for VCs using link level retransmission). The first phase implementation of the SWAN air-interface controller uses fixed 64-byte-sized link cells. This means that there are 5 wasted bytes for ATMLCs with no link level retransmission and 3 bytes wasted per ATMLC with link level retransmission, resulting in a total of 11 bytes of overhead per encapsulated ATM cell. This is in addition to the SDLC framing overhead which is distributed over the contents of the frame. It also means that there is a much larger number of wasted bytes for signaling *link* cells (which have a smaller payload than the 48 bytes for ATMLCs) with consequent higher MAC-level signaling overhead.

## **BAHAMA**

The designers of the BAHAMA network propose the use of both ARQ and FEC for link level error control. The air interface in this network carries packets made up of one or more modified ATM cells. These packets have a CRC code to determine whether they have been received in error. In addition, packets have an FEC overhead for error correction without retransmission. For real-time applications FEC alone is used, while for data applications, FEC operates in conjunction with retransmissions. The GFC field of the ATM cell header is used for cell sequence numbers. The designers also propose the use of adaptive error control wherein the amount of error control overhead is adjusted according to the link performance. However no details of how this will be implemented are provided.

## **WATMnet**

The WATMnet at NEC laboratories uses ARQ with selective repeat for link level error control. The DLC protocols are applied to both packet-mode ABR services as well as stream-mode CBR and VBR services with the retransmission schemes matched to the requirements of the individual service classes. A modified ATM cell is transmitted over the air with a 4 byte header and 2 byte CRC trailer. The 4 byte header consists of a 2 byte compressed ATM cell header and 2 bytes of wireless channel specific overhead. The compressed ATM cell header consists of 12 bits of VCI information and 4 bits of ATM control information such as the payload type indicator (PTI) and cell loss priority (CLP) bit. The wireless channel specific overhead contains a cell sequence number for error recovery and fields to enable other wireless network functions such as service type definition, handoff recovery and cell segmentation. The modified cells are encapsulated within a dynamic TDMA/TDD framework for medium access control. For ABR, standard selective repeat ARQ is used on a burst-by-burst basis without time limits for completion. For CBR and VBR, each retransmission effort lasts till a time limit that is specified by the application at call set-up time.

## **Cambridge-Olivetti Laboratories**

The experimental wireless ATM LAN at Cambridge-Olivetti Laboratories described in [20] uses error detection with retransmission for link level error control. Specially designed variable length medium access control (MAC) frames are transmitted over the air. The MAC frames consist of an up-stream part and a down-stream part with separate acknowledgement and frame control overhead fields for each part. The up-stream and down-stream parts also contain slots for MAC PDUs and at least one contention interval, which is used in a slotted ALOHA mode to make reservations in the subse-

quent MAC frame. The MAC PDUs contain a header, a modified ATM cell with a condensed cell header and a 2-byte CRC for error detection over the MAC PDU header and ATM cell header and payload. The MAC PDU header contains a 4-bit sequence number and 8-bit reservation request. The cell header contains 8 bits each for VPI and VCI information and does not contain a header error check (HEC) thus resulting in 6 bytes of header for each 48 byte ATM cell payload. MAC PDUs received with errors are retransmitted in subsequent frames. More details of the physical layer and MAC protocol can be found in [19].

### **Carleton University**

In the system described in [14], ATM cells are packaged in 64-byte envelopes. ARQ with selective repeat supplemented by high-rate forward error control coding is used for link level error control. For synchronous traffic envelopes, a modified selective repeat ARQ is used where envelopes not yet corrected after a fixed time delay are discarded. This satisfies any real-time QOS service requirements.

Table 2.1 compares the schemes described in this section on the basis of the number of bytes of overhead per 48 bytes of ATM cell payload and the particular method of error control adopted.

<b>WATM Network</b>	<b>Overhead</b>	<b>Modified ATM cell</b>	<b>DLC Scheme</b>
SWAN	16	No	FEC and Selective Repeat ARQ
BAHAMA	5	Yes	FEC and ARQ
WATMnet	6	Yes	ARQ
Cambridge U.	6	Yes	ARQ
Carleton U.	16	No	FEC and Selective Repeat ARQ
RDRN	5	No	Adaptive ARQ

Table 2.1: Comparison of various DLC Schemes.

## 2.4.2 Data Link Control in Packet Radio Networks

Packet radios are based on the notion of applying packet switching to (usually broadcast) radio and are capable of supporting mobile users. Packet radio networks are for the most part based on a store-and-forward operation and are intended to provide data communications to users located over a broad geographic region. One of the key issues involved in the design of packet radio networks is methods for achieving reliable communications in the typically noisy radio environment. Much research activity in the 1980s was devoted to addressing this issue through link level error control. It was recognized that given the highly variable performance of the digital radio link, retransmission procedures needed to be augmented by FEC coding. The primary issue was then to determine how to combine ARQ and FEC so as to achieve an adequate level of link performance [17]. Systems that combine ARQ and FEC error control are referred to as *hybrid ARQ* systems and are classified into two categories, namely type-I and type-II hybrid ARQ. A type-I hybrid ARQ system uses a code which is designed for simultaneous error detection and correction. The receiver first attempts to correct any errors in a received code word. If the number of errors in the received code word is too high and an uncorrectable error pattern is received, the code word is rejected and a retransmission is requested. Type-II hybrid ARQ systems adapt themselves to the channel conditions. When the channel is quiet, only code bits for error detection are included in each transmission. When the channel becomes noisy, and a retransmission is requested for a frame received in error, extra code bits are transmitted based on the original message and an error-correcting code and are used to correct the original received message. Several type-I and type-II hybrid ARQ schemes have been proposed and analysed in the literature based on both block and convolutional codes. In addition, implementations of several powerful FEC coding schemes have also been developed. [25] summarizes

many of these schemes.

However all the schemes introduced above for packet radio networks were developed for data communications in a broadcast radio environment. They all have complex implementations and focus more on reliability and less on throughput performance. Wireless integrated networks require an engineering solution between ARQ and FEC that will reduce complexity and at the same time satisfy throughput, reliability and QOS requirements. These requirements change the boundary conditions of the problem and new research results are needed under these conditions. Thus the earlier work for packet radio networks can provide direction and serve as the basis for future research but cannot be directly applied to the wireless ATM networks of today.

## **2.5 The Adaptive Data Link Control Protocol for RDRN**

We will now briefly introduce the novel adaptive data link layer protocol we have developed for the RDRN network. Details of its design and implementation are given in the following chapter.

The RDRN network uses error detection with retransmissions for link level error recovery. Specially designed wireless frames are transmitted over the air, with each wireless frame either encapsulating one or more ATM cells or carrying link-level control information. The protocol allows QOS requirements to be specified on a per-VC basis at setup. Retransmissions are performed only on those VCs designated as carrying delay-insensitive information. Frames received in error on VCs designated as carrying delay-sensitive information are dropped. This scheme thus allows extension of standard ATM QOS specifications over the wireless portion of the network.

The novel feature of this protocol is the adaptive control it provides. The wireless link is essentially bimodal with the link error rate being either high or low. We recog-

nize that when the error rate is low, the throughput can be maximized by encapsulating several ATM cells within each wireless frame. However when the link error rate is high, most frames will be received in error and it would be best to send only one cell in each frame. Also, the number of frames to be retransmitted in the high error rate state may be reduced by transmitting multiple copies of each frame at a time, instead of just one copy (this scheme has been referred to in the literature as the pre-emptive retransmission or *n-copy* mechanism). An estimate of the link state can be made by taking the ratio of the number of frames received with and without errors in a certain time period and setting a suitable threshold. Thus this protocol adapts itself to the prevailing link conditions and attempts to maximize throughput under all link conditions.

Another significant feature of this protocol is its simplicity. The entire protocol stack including the segmentation and reassembly functions, data link control functions and medium access control functions has been implemented in software. This gives us a great deal of flexibility allowing us to use the system as a test bed to experiment with the various adaptive parameters.

## **2.6 Background on Link State Determination and Pre-emptive Retransmission Mechanisms**

An adaptive automatic-repeat-request (ARQ) scheme similar to our protocol was suggested earlier in the literature in [27]. This scheme also assumes a two-state channel model and uses an estimate of the channel state to dynamically adapt the ARQ algorithm. A simple count of the number of positive and negative acknowledgements received is used to estimate the channel state at the transmitter. The protocol proposes use of regular go-back-N ARQ in the low error rate state and an *n-copy* scheme in the

high error rate state. However, this scheme is most suited only to wireless data communication networks and not for an integrated network with QOS guarantees. This is because it requires the receiver to send back either a positive or negative acknowledgement for every frame received, which may not be possible to do while satisfying QOS guarantees for real-time applications in an integrated network. We overcome this problem in our system by estimating the channel state at the receiver and communicating this state information back to the transmitter as explained in the next chapter.

The idea of using repeated transmissions to reduce the number of retransmissions of a full window of frames in a high error rate state has previously been considered in the literature in [8], [23] and [7]. In all of these schemes, each frame is transmitted and, if necessary, retransmitted by sending to the receiver multiple copies of this frame contiguously instead of one single copy. The different schemes differ in the number of copies of each frame sent in the first transmission attempt and in each subsequent retransmission. Bruneel and Moeneclaey in [8] have determined the optimum number of copies to be sent in each attempt based on the block error probability and round-trip propagation delay. In our system, we adopt the *n-copy* mechanism in the high error rate state and propose to send  $n$  copies of each frame in all transmission and subsequent retransmission attempts while the system remains in this state. The value of  $n$  depends on the actual error rate in the high error rate state. The merits of using the *n-copy* mechanism are discussed in the next chapter. The optimum value for  $n$  is estimated based on a series of experiments, as discussed in chapter 4.

# Chapter 3

## The RDRN Adaptive Data Link Layer Protocol

### 3.1 The RDRN network architecture

The RDRN system, as briefly introduced in the previous chapter, consists of two types of nodes, namely, Edge Nodes (EN) and Remote Nodes (RN). A high-level view of the RDRN system architecture is depicted in Figure 3.1. ENs are designed either to reside on the edge of a wired network and provide access to the wireless network or reside entirely within the wireless portion of the network. The EN components include an Edge Switch (ES) and optionally an ATM switch, a radio handling the ATM-based communications, a packet radio for the low speed orderwire running a protocol based on X.25 (AX.25), a GPS receiver, a phased array steerable antenna and a processor. Host nodes or remote nodes (RN) consist of the above, but do not contain an ATM switch. The EN has the capability of switching ATM cells among connected RNs or passing the cells on to an ATM switch to wire-based nodes on an ATM wide-area network. Figure 3.2 shows the high-speed protocol architecture on the EN while Figure 3.3 shows the correspond-

ing architecture on the RN. As can be seen from these figures, the differences between an EN and RN from an architecture standpoint are that the EN performs switching and has the capability of higher speed radio links with other ENs as well as connections to wired ATM networks. In Figure 3.2, the ATM-based MicroSwitch driver performs cell switching in software.

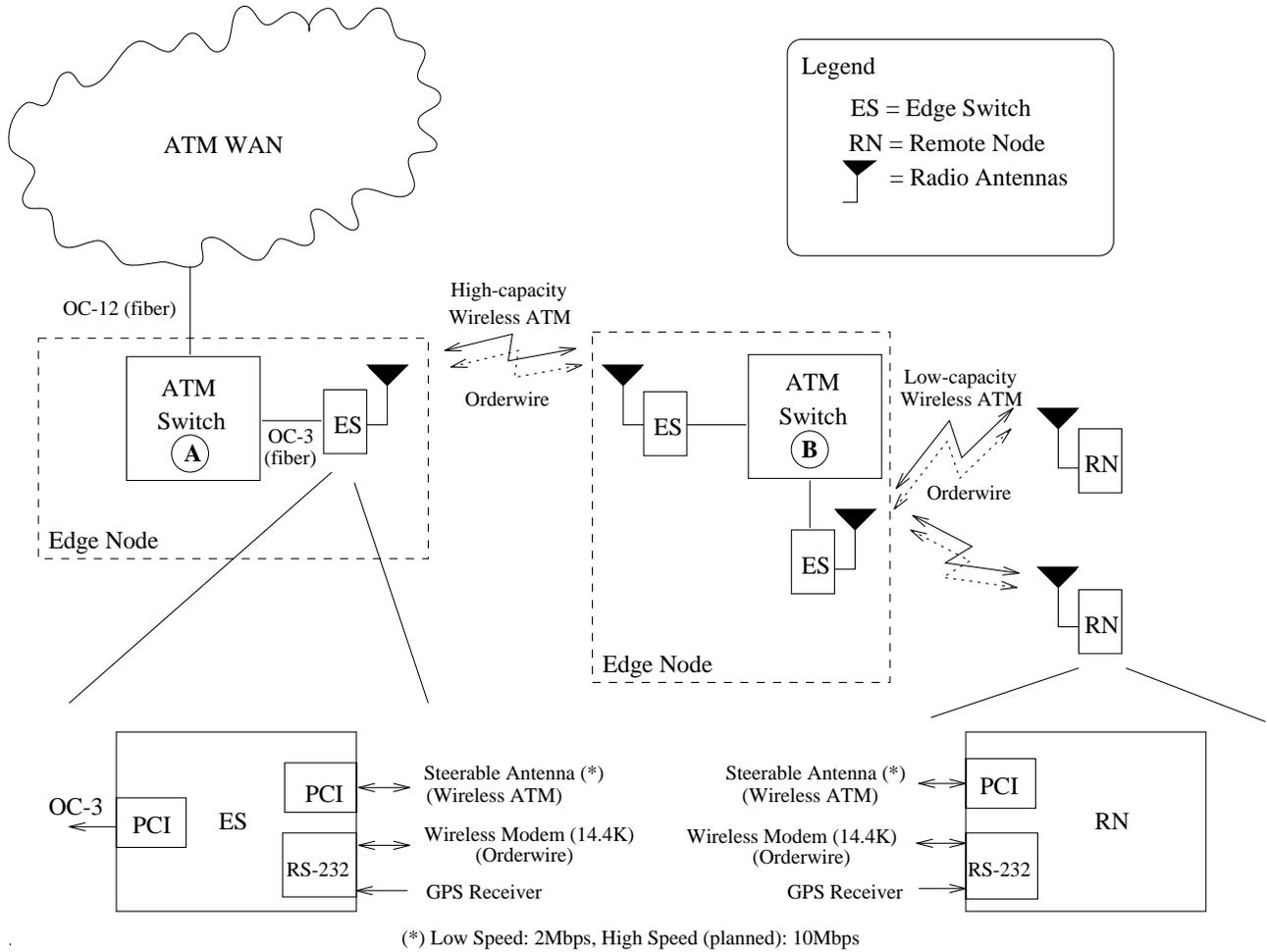


Figure 3.1: High-Level RDRN Architecture

Linux has been chosen as the operating environment for the RDRN system. The system supports applications running over both native-mode ATM as well as TCP/IP over ATM. There has been considerable development work done to support standard ATM on Linux by researchers at the Laboratoire de Reseaux de Communication (LRC)

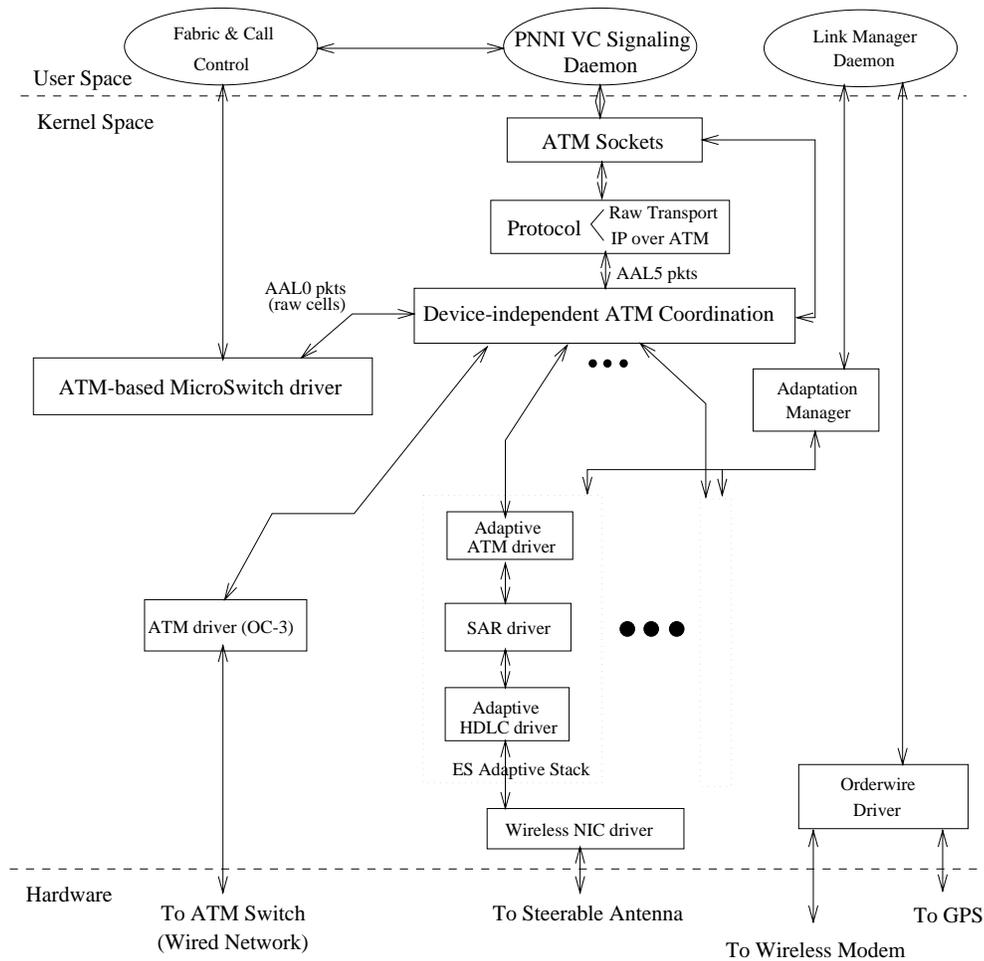


Figure 3.2: EN Network Architecture

at EPFL in Switzerland [3]. In particular, they have developed a BSD-sockets based application programming interface (API) to support native-mode ATM applications as well as support for classical IP over ATM (RFC 1577) for TCP/IP based ATM applications [4]. The Device-independent ATM coordination layer shown in Figures 3.2 and 3.3 is a collection of common data structures and protocol conventions for ATM on Linux. We have reused the available software from the ATM on Linux distribution in the RDRN system. Thus the wireless ATM software stack developed for the RDRN system can be treated just like any other ATM device driver and interfaces into the standard distribution at the Device-independent ATM Coordination layer as shown in

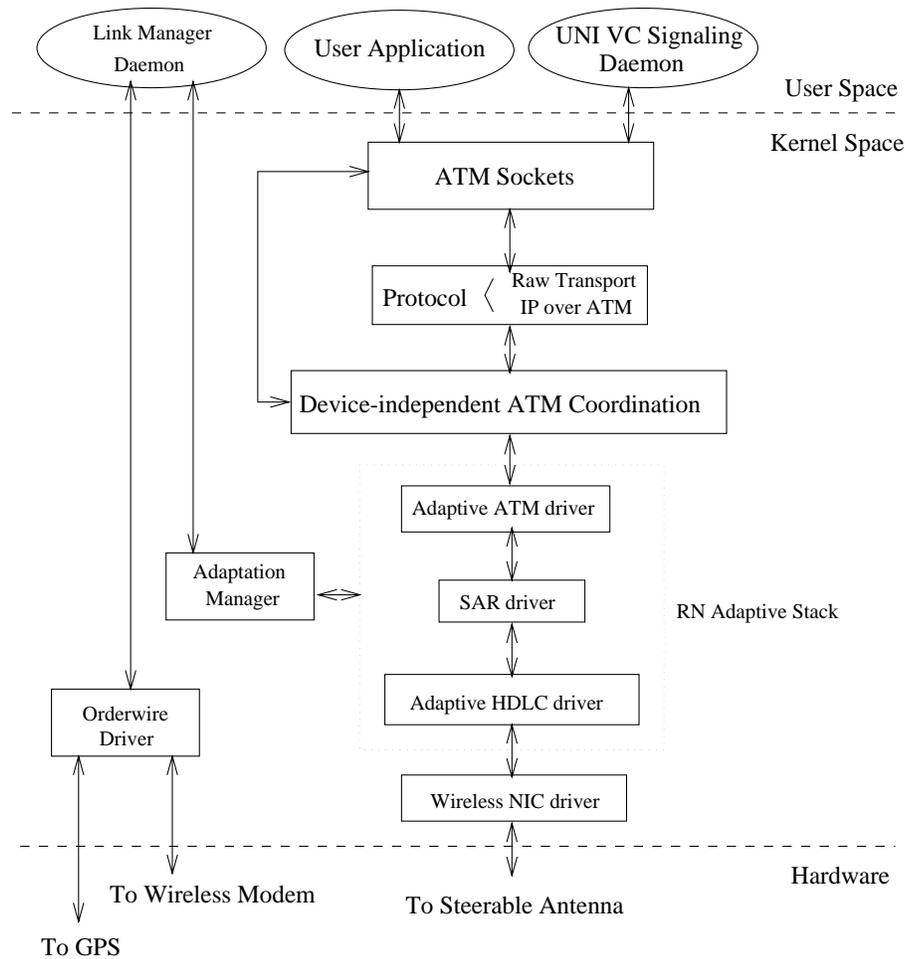


Figure 3.3: RN Network Architecture

Figures 3.2 and 3.3. The wireless ATM software stack for RDRN consists of an adaptive ATM layer, a segmentation and reassembly layer (SAR), an adaptive data link layer and a wireless ATM interface layer.

### 3.1.1 Adaptive ATM Layer

The adaptive ATM layer provides the interface between the RDRN wireless ATM driver and the standard ATM on Linux distribution. It contains the data structures specific to the wireless ATM driver and provides the device-independent ATM coordination layer

with standard device-independent entry points to the rest of the wireless software stack. It thus enables the RDRN wireless stack and the higher layers of the ATM on Linux distribution to exchange data. The adaptive ATM layer also manages resources on the wireless stack, keeps track of active VCs and gathers ATM level statistics such as cell counts, drop counts and so on. While the current implementation is non-adaptive, this layer can be enhanced to adapt the allocation and management of resources in response to certain specified parameters or events.

### **3.1.2 SAR layer**

The SAR layer performs ATM segmentation and reassembly functions in software. The current implementation supports AAL5 and NULL (AAL0) encapsulation types. However, it must be noted that no AAL is precluded from use. The SAR layer performs one complete segmentation at a time and allows concurrent reassembly on upto 1024 VCs. For AAL5 traffic on transmit, the SAR layer receives a protocol data unit (PDU) from the device-independent ATM coordination layer through the adaptive ATM layer, performs the CRC calculations and computes the AAL5 trailer bytes. It then segments the resulting AAL5 PDU into 48 byte chunks and adds the appropriate ATM cell headers before passing the cells down to the adaptive data link control layer for transmission. For each VCI channel being reassembled, a reassembly queue is allocated in memory which is used as the workspace for the reassembly process. For AAL5 traffic, CRC calculations are performed on the reassembly queue as cells are received. AAL5 PDUs that are reassembled error-free are passed up to the device-independent layer through the adaptive ATM driver. A VCI table is used within the SAR layer to store information about all active VCs. The SAR layer employs early-packet discard to improve the efficiency of the reassembly process.

### **3.1.3 Wireless ATM Interface Layer**

The wireless ATM interface layer provides the interface between the wireless ATM software stacks and the radio hardware through the peripheral component interconnect (PCI) card. There will be one PCI interface card on each host serving either a single stack on the RN or one or more stacks (one per connection) on the EN. As mentioned earlier, the RDRN system distinguishes between delay-sensitive and delay-insensitive traffic based on the VC identifier, with delay-sensitive traffic being given higher priority. The wireless ATM interface layer maintains two service queues, one for delay-sensitive traffic and the other for delay-insensitive traffic. Wireless frames are handed down to the wireless ATM interface layer from the data link control layer for transmission and are added to one of the two queues depending on the frame type. Data is removed from the queues by the wireless ATM interface hardware with the delay-sensitive traffic queue being serviced more frequently.

## **3.2 The adaptive data link control layer**

The RDRN system uses error detection with retransmission for link level error recovery. ATM cells transmitted over the air are encapsulated within a specially designed wireless frame. The protocol allows standard ATM QOS requirements to be extended over the wireless portion of the network by distinguishing between VCs carrying delay-sensitive traffic like voice and video from those carrying delay-insensitive traffic like data. Frames carrying delay-sensitive traffic received with errors are dropped while frames carrying delay-insensitive traffic received with errors are retransmitted. The wireless channel state is estimated based on the ratio of the number of frames received with and without errors and is assumed to be in either a good state (characterized by a

low BER) or a bad state (characterized by a high BER). The wireless frame length is adapted to the channel state with a larger frame used in the good state and a smaller one in the bad state. An *n-copy* mechanism is also used in the bad state to transmit multiple copies of each delay-insensitive traffic frame at a time in order to reduce the total number of retransmission requests. The protocol attempts to maximize the throughput under all channel conditions.

The protocol uses a sliding window and a go-back-N ARQ scheme to guarantee reliability. We have chosen a window size of seven wireless frames. The two ends of the link maintain send and receive state variables and use a set of timers to detect losses and resequencing of frames. The software implementation of the sliding window mechanism has been modeled on the existing Linux implementation of the AX.25 amateur packet radio link layer protocol [16]. The entire protocol including the error detection coding is implemented in software in the prototype system. This makes the system flexible and provides us with an ideal test-bed for further research. The rest of this chapter is devoted to explaining in detail various aspects of the protocol, the implementation and the adaptive features.

### **3.2.1 Wireless Frame Structure**

The basic approach adopted in our system is to use the standard ATM cell for network level functions while a wireless header and trailer are added over the radio link for the wireless channel specific functions like medium access control and data link control. The header and trailer also include necessary overhead due to channel equalization and timing at the physical level. The use of a wireless frame over the radio link encapsulating several ATM cells allows this necessary overhead to be spread over a larger data payload, thus reducing its effect. This is especially important in the wireless context

where bandwidth is at a premium. We have decided to retain the standard ATM cell structure over the wireless link without modifying the ATM cell header in any way or performing cell header compression. This simplifies the functionality required in the ENs at the boundary between the wired and wireless networks and reduces their complexity. It also allows cells from multiple VCs to be encapsulated in the same frame, as long as all the VCs carry traffic with the same QOS requirements. Figure 3.4 shows the structure of the wireless frame that we have developed for the RDRN system.

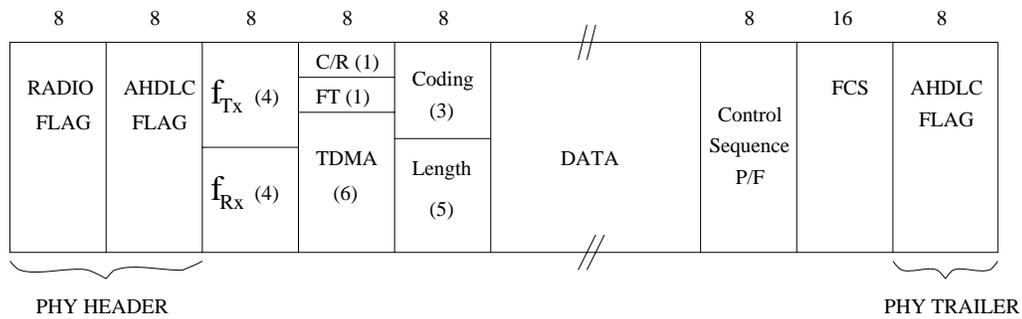


Figure 3.4: Wireless Frame Format

The frame format we have adopted is based on the standard high-level data link control (HDLC) frame. However we have done away with any addressing information in the frame since a physical-level connection will always exist before any data is sent, making address information unnecessary. The 8 bit radio flag field is used for bit level synchronization at the physical layer. The AHDLC flag field in the header and trailer is the standard HDLC flag and is used for frame delineation at the physical level. Ideally the radio and AHDLC flags should not be included in a frame at the data link level since this information is used only at the physical level. However we allocate space in the frame for these fields at the data link level since this makes the implementation more efficient.

The RDRN system uses digital beamforming to allow for spatial reuse of the transmission frequencies being used. Two separate frequencies are used, one for the uplink

direction and the other for the downlink direction. The fields  $f_{Tx}$  and  $f_{Rx}$  are used to denote the particular frequencies being used. Each EN is capable of generating multiple digitally formed beams to serve RNs. Within each beam, a TDMA structure is used to support multiple users. The 6 bit TDMA field in the frame is partitioned into two 3 bit fields, one denoting the beam and the other the TDMA time slot on that beam, for that connection.

The RDRN data link control protocol retransmits frames containing delay-insensitive traffic received with errors. Frames containing delay-sensitive traffic that are received with errors are dropped. The receiver thus needs to distinguish between the two traffic types from the data in received frames in order to process them correctly. The single bit frame type (FT) field in the frame is appropriately set on the transmit side and is used to tell the receiver what type of data the frame contains. A value of 1 is used to indicate delay-insensitive information while a value of 0 is used to indicate delay-sensitive information. The single bit command/response (C/R) field is an implementation specific field used locally within the data link layer. It is used to indicate whether a particular frame is a command or a response. A response frame is never implicitly acknowledged by the receiver while a command frame may or may not be implicitly acknowledged.

The DATA field is the payload portion of the frame. It contains a variable number of encapsulated ATM cells padded to the next word boundary. The number of cells in the frame depends on the channel conditions with the frame containing a larger number of cells when the channel BER is low and a fewer number of cells when the BER is high. The Length field in the frame is used to indicate to the receiver the number of encapsulated cells a particular received frame contains. We determine the actual values of the length field for the good state and bad state through experimentation, as presented in the next chapter.

The control sequence field contains protocol control information such as frame

types and transmit and receive sequence numbers. The contents of this field will be described in more detail in the next section. The frame check sequence (FCS) field contains a 16 bit error detection code over the data link header and trailer and payload portion of the frame. The current implementation uses a 16 bit checksum similar to the code used in the TCP/IP protocol stack for error detection. The frame format also provides scope for experimentation with various enhanced coding schemes involving additional FEC coding over the data portion of the frame. In such schemes, the FCS would only apply over the data link header and trailer fields and the 3 bit Coding field would be used to indicate to the receiver the particular coding scheme used over the payload portion of the frame. Eight such coding schemes using different types of FEC codes can be supported with the 3 bit coding field. Experimentation with coding schemes that use FEC coding for delay-sensitive traffic and a combination of FEC and ARQ for delay-insensitive traffic are also possible in the future.

### **3.2.2 Frame Types Used**

Wireless frames either carry data as encapsulated ATM cells or convey commands and responses from one end of the link to the other in order to maintain proper link control. The control sequence field of the wireless frame identifies the type of frame being sent and carries any required sequencing information. We use three types of wireless frames, namely, Information frames (I frames), Supervisory frames (S frames), and Unnumbered frames (U frames). Figure 3.5 shows the basic format of the control field associated with each of these types of frames.

Bit 0 is the first bit sent and bit 7 is the last bit sent of the control field. The “S” bits are the supervisory function bits, while the “M” bits are the unnumbered frame modifier bits. Particular values of the S and M bits are used to distinguish between the

CONTROL-FIELD TYPE	CONTROL-FIELD BITS							
	7	6	5	4	3	2	1	0
I FRAME	N(R)			P	N(S)			0
S FRAME	N(R)			P/F	S	S	0	1
U FRAME	M	M	M	P/F	M	M	1	1

Figure 3.5: Control Field Formats

different supervisory and unnumbered frames used as shown below. The P/F bit is the Poll/Final bit. All command frames (C/R bit set) sent with the P/F bit set are implicitly acknowledged.

The I frame contains data to be transmitted in terms of encapsulated ATM cells. N(S) is the sender's sequence number for each transmitted frame (bit 1 is the LSB). N(R) is the receive sequence number and denotes the sequence number of the next expected received frame (bit 5 is the LSB). N(R) thus serves to acknowledge all received frames upto N(R)-1. I frame sequence numbers are assigned modulo 8 from 0 to 7 thus allowing upto 7 outstanding I frames on each connection. S frames provide link control such as acknowledging or requesting retransmission of I frames. Since S frames do not have an information field, the sender's send state variable and the receiver's receive state variable are not changed on receipt of S frames. We use two types of S frames namely the RR (Receive Ready) frame and the REJ (Reject) frame. The RR frame is used to acknowledge properly received I frames up to, and including N(R)-1, and to indicate that the sender of the RR is now able to receive more I frames. The REJ frame is sent when frames are received out of sequence at the receiver and is used to request retransmission of I frames starting with frame N(R). Any frames that were sent with a

sequence number of  $N(R)-1$  or less are implicitly acknowledged. The reject condition is cleared by the proper reception of I frames up to the I frame that caused the reject condition to be initiated. Figure 3.6 shows the S frame control field formats. All S frames have the bit 0 set to 1 and bit 1 set to 0.

CONTROL-FIELD TYPE	CONTROL-FIELD BITS							
	7	6	5	4	3	2	1	0
Receive Ready (RR)	N(R)			P/F	0	0	0	1
Reject (REJ)	N(R)			P/F	1	0	0	1

Figure 3.6: S Frame Control Field Formats

U frames are responsible for maintaining additional control over the link beyond what is accomplished with S frames. We use 3 types of U frames, namely, link activity frames (LA), RESET frames and Unnumbered Acknowledgement (UA) frames. LA frames are sent when there is no traffic observed on the link for a certain period of time. They are used to elicit a response from the node at the other end of the link and to determine if the link is indeed still up. The length of the link activity timeout can be adjusted depending on the application and the nature of traffic the link is carrying. The current implementation allows LA frames to carry an ATM operations, administration and maintenance (OAM) cell which can be passed up to the ATM layer for processing.

The RESET frame is sent when a frame is received with a receive sequence number outside the sending window and is used to recover from such an abnormal operating condition. The receipt of a RESET frame forces the remote end to reset its state by reinitializing the send and receive state variables and flushing the queue of frames that have been transmitted and are awaiting acknowledgements (*ack\_queue*). It is necessary

to ensure reliable transmission of the RESET frame so that both ends of the link reset their states together. Thus the sender of the RESET frame enters the reset state and remains in this state until the remote end sends it an UA frame. All U frames have bits 0 and 1 set to 1. Figure 3.7 shows the U frame control field formats.

CONTROL-FIELD TYPE	CONTROL-FIELD BITS							
	7	6	5	4	3	2	1	0
Link Activity Frame	0	0	0	P/F	0	0	1	1
Reset Frame	0	0	0	P/F	0	1	1	1
Unnumbered Acknowledgement	0	1	1	P/F	0	0	1	1

Figure 3.7: U Frame Control Field Formats

## 3.3 Protocol Operation and Implementation

### 3.3.1 Private Data Structures

We use a special data structure which we call a *control block* to keep track of parameters specific to each connection such as send and receive state variables, timers and queues. The contents of the *control block* used in the current implementation are shown in Figure 3.8.

*State* denotes the current state of operation of the data link process. *vs* and *va* denote the upper and lower bounds of the sending window. *vs* is incremented every time an I frame is transmitted and *va* is incremented whenever an acknowledgement is received for a previously transmitted I frame. *vr* is the receive state variable and contains the

---

```

struct ahdlc_cb {
    unsigned char          state, modulus;
                          /* state of the process */
    unsigned short       vs, vr, va;
                          /* upper and lower bounds
                          of sending window and the
                          receive state variable */
    unsigned char       condition, backoff;
    unsigned char       n2, n2count;
                          /* retry count */
    unsigned short      t1, t2, t3, rtt;
                          /* Timers and the round
                          trip time */
    unsigned short      t1timer, t2timer, t3timer;
    struct sk_buff_head  write_queue;
    struct sk_buff_head  ack_queue;
    unsigned char       window;
    struct timer_list    timer;
    unsigned int        apd;
                          /* adaptive protocol descriptor
                          - uniquely identifies each
                          adaptive stack on the EN */
    unsigned short      ac_t1timer, ac_t2timer;
    unsigned short      ac_t1, ac_t2;
    struct timer_list    ac_timer;
    unsigned int        ac_error_count;
    unsigned int        ac_frame_count;
};

```

10

20

---

Figure 3.8: Contents of the Control Block Structure

---

sequence number of the next I frame expected to be received. This variable is updated upon the reception of an error-free I frame whose send sequence number equals the present value of the receive state variable. Three timers are used to guarantee reliability. The *t1timer* is started when an I frame is transmitted and expires if an acknowledgement is not received within a certain period of time. The *t2timer* determines the maximum time a receiver can wait before it must send an explicit acknowledgment for received I frames and the *t3timer* is a link activity timer that times out in case there is no traffic on the link for a certain period of time(*t3timer*). The *write\_queue* is a queue of frames awaiting space on the sending window for transmission while the *ack\_queue* is a queue of frames transmitted but yet to be acknowledged.

There is one *control block* for each high-speed connection. The *apd* field in the *control block* is an integer value that uniquely identifies each adaptive protocol stack and its associated *control block*. The *apd* value is assigned and the control block is initialized when the stack is created at connection set up. The *control block* structure is private to the data link control layer and is not accessible from any other layer in the stack.

In addition, we also define an adaptive protocol stack information ( *aps\_info*) structure to keep track of some additional connection specific parameters. The contents of the *aps\_info* structure used in the current implementation are shown in Figure 3.9.

The *aatm\_dev*, *sar\_dev* and *ahdlc\_dev* fields are pointers to the adaptive ATM layer, SAR layer and adaptive data link layer private data structures. The *itf* field identifies the device interface used by the connection and the *atmaddr* field contains the ATM address of the particular RN or EN. The *beam* and *slot* fields denote the beam number and TDMA slot within the beam used by the connection. The *length*, *n\_copy* and *state* fields are the adaptive parameters of the connection and their use will be explained in later sections. The *aps\_info* structure is initialized by the adaptation manager when

---

```

struct am_aps_info {

    unsigned int apd;      /* number to uniquely
                           identify stack */
    unsigned int itf;     /* interface number for device
                           (assigned by AATM layer) */
    void * aatm_dev;      /* pointer to ATM-
                           specific info */
    void * sar_dev;      /* pointer to SAR-
                           specific info */
    void * ahdlc_dev;    /* pointer to DLC-
                           specific info */
    unsigned char atmaddr[ATM_ESA_LEN];
                           /* ATM address info */
    unsigned int beam;    /* Beam info used by
                           TDMA physical layer */
    unsigned int slot;   /* Slot info used by
                           TDMA physical layer */
    unsigned int coding; /* Coding info used
                           by DLC layer */
    unsigned int length; /* frame length info used
                           by DLC layer */
    unsigned int n_copy; /* number of consecutive
                           copies of each frame
                           to be sent in bad state */
    unsigned int state;  /* current state of the
                           wireless channel */
};

```

Figure 3.9: Contents of the aps info structure

---

the protocol stack is created at connection set up. It is accessible to all layers of the protocol stack through the *apd* and is the glue which allows all the layers of the stack to talk to each other.

### 3.3.2 Protocol Operation

The protocol operation differs for delay-sensitive and delay-insensitive traffic. Buffers of ATM cells are received from the SAR layer on transmit. ATM cells containing real-time information are encapsulated into wireless frames and directly handed down to the wireless ATM interface layer for transmission. The number of cells encapsulated in each frame depends on the current estimate of the channel state and is defined by the *length* field of the *aps\_info* structure. Error checking is done on the received frames and frames received with errors are dropped. ATM cells are decapsulated from error-free frames and passed up to the SAR layer.

When handling delay-insensitive traffic, the protocol operates in one of 3 states, namely, a connected state, a timer recovery state or a reset state. The connected state is the normal state of operation. ATM cells received from the SAR layer for transmission are encapsulated into wireless frames and added to the *write\_queue*. The sending window is then checked and as many frames are dequeued from the *write\_queue* and transmitted as there is space in the sending window. The send sequence number  $N(S)$  is incremented for each frame sent and the *rtimer* is started if it is not already running. Copies of transmitted frames are stored on the *ack\_queue* until they are acknowledged. Acknowledgements are received either as explicit RR frames or piggybacked on I frames transmitted from the receiver. Since we use a window size of seven, a maximum of seven unacknowledged frames will be on the *ack\_queue* at any given time.

The send sequence number of each I frame received is compared with the current

value of the receive state variable to determine if this is the next I frame expected and to detect out of sequence frames. If this is the next frame expected, the ATM cells from the payload portion of the frame are passed up to the SAR layer and the receive state variable is incremented. If the P/F bit on the received I frame was set, an RR frame is sent to the transmitter acknowledging that I frame and all previously received and unacknowledged I frames.

*va* is incremented for each frame acknowledged and the copy of the frame stored on the *ack\_queue* is dequeued and freed. The receiver sends a REJ frame when frames are received out of sequence. The receive sequence number (  $N(R)$  ) of the REJ frame indicates the next frame expected at the receiver. Frame  $N(R)$  and all subsequently transmitted frames are then dequeued from the *ack\_queue* and requeued on the *write\_queue* for retransmission. The sending window is checked at every timer interrupt (once every 10ms on Linux) and any frames awaiting transmission on the *write\_queue* are transmitted whenever there is space in the sending window.

The system enters the timer recovery state whenever one of the 3 timers expires. If the *t1timer* expires and the system was in the connected state, an RR frame with the poll bit set is sent to the receiver to force an acknowledgement and the system enters the timer recovery state. It remains in the timer recovery state until all previously transmitted I frames are acknowledged. If the system was already in the timer recovery state when the *t1timer* expired, the retry count is incremented and another RR frame is sent to the receiver. When the retry count exceeds a predefined maximum, the connection must be torn down and reestablished. This is done by notifying the link manager daemon through the adaptation manager (see Figure 3.2 and [10] ). If the *t3timer* expires in the connected state, a link activity (LA) frame with the C/R bit set is sent and the system enters the timer recovery state. It remains in the timer recovery state until another LA frame is received in response with the C/R bit reset or an RR frame is re-

ceived acknowledging all previously transmitted I frames. If nothing is received, the link manager daemon is notified to tear down the connection.

The system enters the reset state when a frame is received with receive sequence number outside the sending window acknowledging a frame that was never sent. This is an abnormal condition and the only way to recover is to flush the *ack\_queue* and reinitialize the sequence numbers, state variables and timers. A RESET frame is also sent to the remote end to force it to also reset state since both sides of the link must be synchronized in terms of state variables and sequence numbers for proper protocol operation. The system remains in the reset state until an Unnumbered Acknowledgement (UA) is received from the remote end confirming that it has also reset its state.

As we mentioned earlier the software implementation of the sliding window mechanism for our data link control protocol has been modeled on the existing Linux implementation for AX.25. This implementation was modified to reflect the needs of our system. The link activity frames containing OAM cells were introduced and reset state added to the state machine to handle frames received out of order. The addressing mechanisms and HDLC frame structure needed for AX.25 were removed and the resulting go-back-N implementation integrated with the rest of the RDRN wireless ATM protocol stack.

### **3.4 Link State Estimation**

We have discussed the basic operation of the adaptive data link protocol. The interesting aspect of this protocol is its ability to adapt the frame length and retransmission mechanism based on the wireless channel conditions. This requires an estimate of the wireless channel conditions at frequent intervals. Channel state estimation can be done either at the physical level or at the data link level. Techniques at the physical level

using pilot tone transmissions [18] and signal power measurements [11] have been discussed in the literature. Yao in [27] uses a simple count of received positive and negative acknowledgements at the transmitter to estimate the channel state at the link level.

In the RDRN system, received frames containing delay-sensitive traffic are not acknowledged. Hence a technique that does the channel estimation at the transmitter by counting acknowledgements would not work when only delay-sensitive traffic was being transmitted. We overcome this problem by doing the estimation at the receiver and communicating the state information back to the transmitter. Our estimation method is based on a ratio of the total number of frames received in a given time period to the number of frames received in error in the same time period. We use a ratio as opposed to simple counts to prevent the estimation results from being biased by asymmetric traffic loads in the uplink and downlink directions.

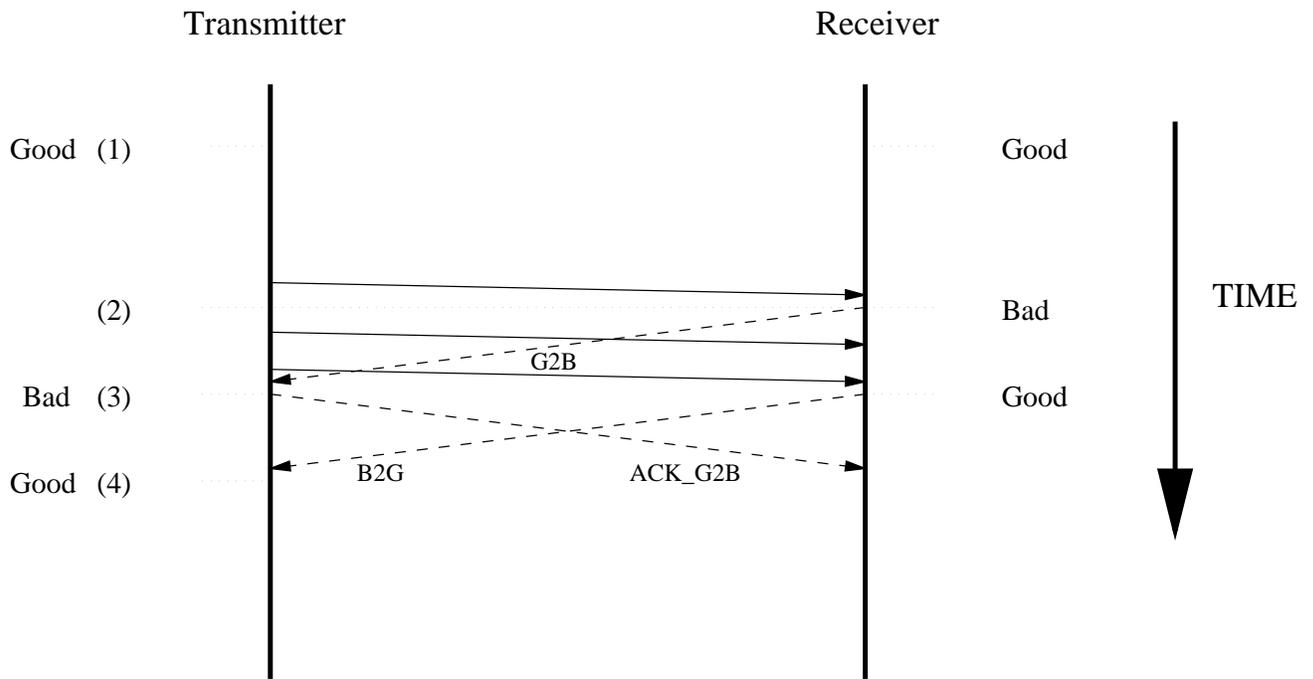
We maintain a count of received frames and increment this count each time a wireless frame is received at the data link layer. We then compute the checksum on the received frame and pass error free frames up the protocol stack. Frames received with errors are dropped and an error count is incremented. We assume that the channel is slowly varying and define an estimation interval over which we assume that the channel state is unchanged. At the end of this estimation interval we compute an error ratio of the total number of frames received in this interval to the number of frames received in error. We also define an upper bound and lower bound error threshold for the estimation process and compare the computed error ratio against these thresholds. If the state of the channel in the previous interval was estimated to be good and the computed error ratio is less than both the upper and lower bound error threshold, we estimate that the channel state for the current interval is bad, else we retain the estimated state as good. If the state of the channel in the previous interval was estimated to be bad and

the computed error ratio is greater than both the upper and lower bound error threshold, we estimate that the channel state for the current interval is good, else we retain the estimated state as bad. We use two threshold values in the estimation process to prevent small changes in the error ratio in the region of a single threshold from causing the estimated state to continually oscillate between the good and bad states. The frame count and error count are reset at the end of each estimation interval. This channel state estimation algorithm is illustrated in the flow diagram shown in Figure 3.12. The field *ac\_12timer* in Figure 3.12 denotes the length of the estimation interval.

One of the main challenges in this method of estimating channel state at the receiver and communicating this information back to the transmitter is link state synchronization between the transmitter and receiver. It is important that both ends of the link see the link as being in the same state. This is achieved by ensuring that any communication of state information from the receiver back to the transmitter is error-free.

This brings up the question of how the state information must actually be transmitted from receiver back to the transmitter. The RDRN system affords us two possibilities - one is using specially defined supervisory frames over the high-speed data channel in the downlink direction while the other is using the out-of-band orderwire channel. Each alternative has its own advantages and disadvantages. One of the problems with using the high-speed data channel is that reliably communicating information back to the receiver in the high-error state may be difficult. Measurements of packet transfer times over the orderwire have produced values of the order of several hundred milliseconds. Such large link state synchronization delays between the transmitter and receiver would make the adaptation to channel state too slow and ineffective. The timing diagram in Figure 3.10 illustrates this point.

Initially (at time 1) both the transmitter and receiver see the channel as being in the good state. At time 2, the receiver estimates the channel state to have become bad



—————▶ : Data Frames over the High-Speed Link

- - - - -▶ : State Estimation Frames over the Orderwire

Figure 3.10: Effect of Large Synchronization Delays on State Estimation

and communicates this information to the transmitter through a *G2B* frame over the orderwire. Due to the large packet transfer times over the orderwire, this information reaches the receiver only at time 3, by which time the receiver estimates the state to have become good again. It sends this new state information to the transmitter through a *B2G* frame which reaches the transmitter at time 4. Thus between times 2 and 3 the transmitter sees the channel as being in the good state when it is actually in the bad state while between times 3 and 4 the transmitter sees the channel as being in the bad state when it is actually in the good state. Hence in the current implementation, we use the high-speed data channel in the reverse direction to communicate link state estimations back to the transmitter.

We use timeouts and retransmissions to ensure reliability and special supervisory frames to communicate channel state changes and carry acknowledgements. The frame carrying state change information must be able to tell its recipient what the previous state was and what state to change to, in order to maintain state synchronization between the transmitter and receiver. Also for this scheme to work correctly, there must not be more than one state change frame outstanding in any direction at a time. However since channel estimation can be done at both ends of the link in the case of bidirectional traffic, we see that to satisfy the earlier constraints we need two state change frames, *G2B* for change from a good to a bad state and *B2G* for change from a bad to a good state. We also need two acknowledgement frames, *ACK\_G2B* acknowledging receipt of a *G2B* frame and *ACK\_B2G* acknowledging receipt of a *B2G* frame. Figure 3.11 shows the control field formats for these frames.

CONTROL-FIELD TYPE	CONTROL-FIELD BITS							
	7	6	5	4	3	2	1	0
G2B	0	0	0	P/F	1	0	1	1
B2G	0	0	1	P/F	0	0	1	1
ACK_G2B	0	0	1	P/F	0	1	1	1
ACK_B2G	0	0	1	P/F	1	0	1	1

Figure 3.11: State Estimation Control Field Formats

We use two timers in the estimation process - the *ac\_t1timer* is used to ensure reliable transfer of the *G2B* and *B2G* frames and the *ac\_t2timer* controls how often the receiver estimates the channel state. The state field of the *aps\_info* structure is used to keep track of the current channel state and is updated whenever the channel state is

estimated to have changed or a *G2B* or *B2G* frame is received. The length and *n\_copy* fields of the *aps\_info* structure are also updated whenever the state changes. Their use will be explained in the two sections. The flow diagram in Figures 3.12 and 3.13 shows the working of the channel state estimation process described above. The definition of the symbols used in this diagram is the same as the definition in [24].

continued on next page →

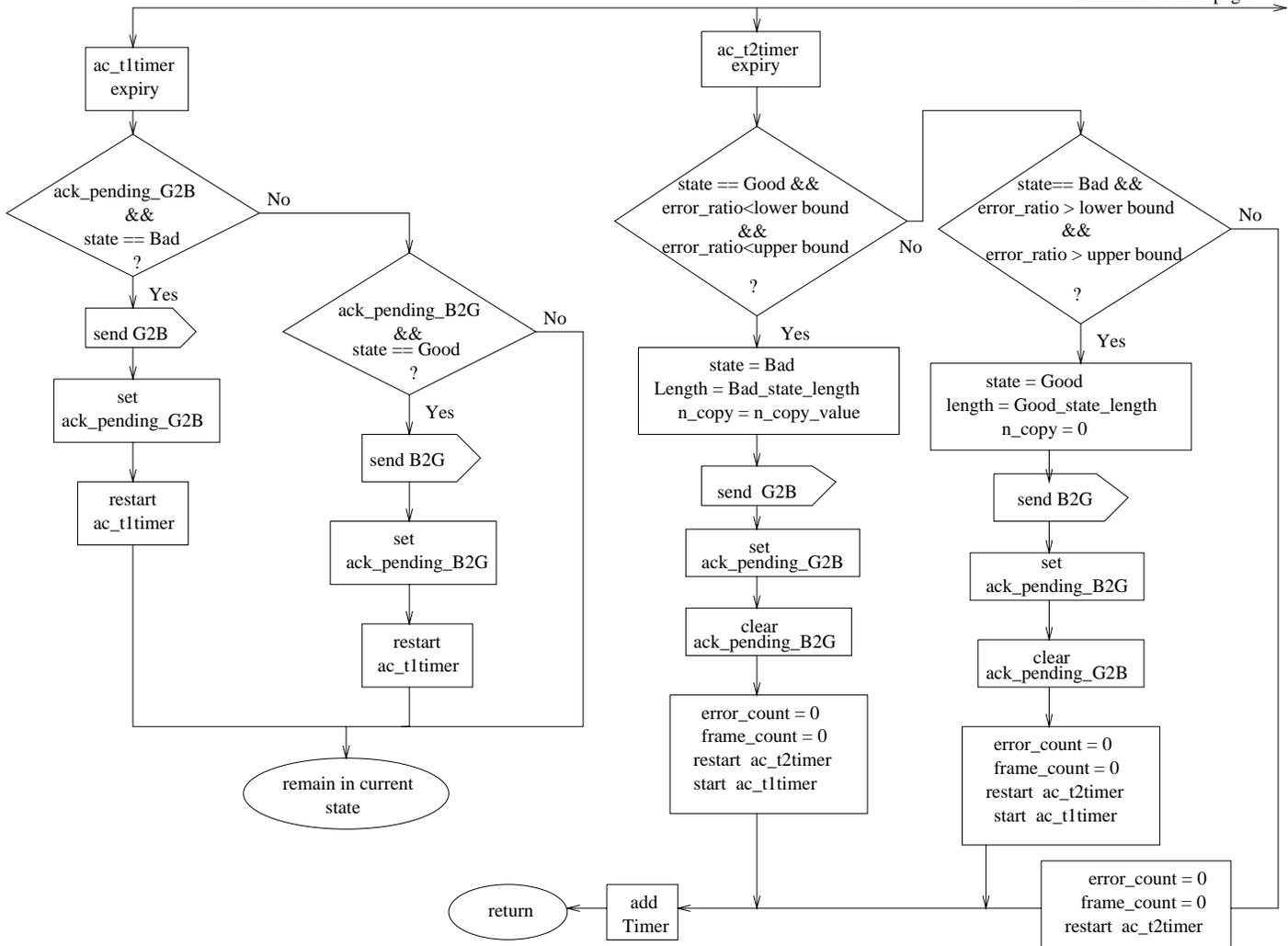


Figure 3.12: Flow Diagram for Channel Estimation Process

## 3.5 Adaptive Frame Length

We use the state information obtained from the channel state estimation process to adapt the operation of the RDRN data link control protocol. The parameters that are adaptive in the current implementation are the wireless frame length and the retransmission mechanism used. We will discuss the rationale behind adaptive frame lengths in this section.

The design of the wireless frame format shown in Figure 3.4 allows for a variable number of ATM cells to be encapsulated within each frame. Ideally encapsulating a larger number of cells in each frame would reduce the effect of overhead due to the frame header and trailer and increase the data throughput. However considering random, independent bit errors in the low error rate state, the frame error rate increases as the frame length increases for a given BER. A higher frame error rate results in lower throughput. Thus there is an optimum frame length that produces the best throughput under given channel conditions. Studies using loopback tests through the entire protocol stack (discussed in the next chapter), have indicated that a frame length of 9 cells per frame produces the best throughput considering a BER of  $10^{-5}$ .

In the high-error rate state a much larger percentage of frames are going to be received in error and will need to be retransmitted. Hence there is no advantage in having a large frame length and it would be efficient to encapsulate as few cells as possible in each frame when the channel is in the high-error rate state.

Hence we propose to adapt the frame length to the wireless channel state using a frame length of 9 cells per frame in the low error state and 3 cells per frame in the high-error rate state. The length field in the *aps\_info* structure described earlier is used to store the current frame length and is updated whenever the estimate of the channel state changes. Performance measurements showing the effect of this adaptive frame

length scheme on the throughput are discussed in the next chapter.

### 3.6 Pre-emptive Retransmissions

Under high-error rate conditions situations can arise when an entire window of frames needs to be retransmitted multiple times due to errors in just one received frame. The pre-emptive retransmission or N-Copy scheme is an attempt to reduce the number of such occurrences. The basic idea of pre-emptive retransmission is to send multiple successive copies of each frame at each transmission and retransmission instead of just one copy as in normal go-back-N, with the hope that at least one of these copies will be received error-free. This will reduce the number of retransmission requests, especially for entire windows of frames, and increase data throughput. It must be noted that the pre-emptive retransmission scheme will be beneficial only in the high-error rate state and that the added overhead due to multiple redundant copies of each frame in the low error rate state would reduce the effective throughput. The *n\_copy* field in the *aps\_info* structure is used to store the value of the number of copies to be sent at each transmission (ie. the value of *n*) and is updated whenever the estimate of the channel state changes. We set this value to 0 in the low error rate state and to the optimal value of *n* in the high error rate state. The effect of the N-Copy scheme on the throughput performance and the optimal value of *n* to be used are estimated through a series of experiments as discussed in the next chapter.

It should be noted that we can use the pre-emptive retransmission mechanism only for delay-insensitive traffic. This is because the sequence numbers associated with these frames allow us to distinguish copies of frames from those being received for the first time, which is not possible with delay-sensitive frames. We determine the advantage of using adaptive frame lengths and of the pre-emptive retransmission mechanism both

separately and at the same time through a series of experiments as discussed in the next chapter.

continued from  
previous page

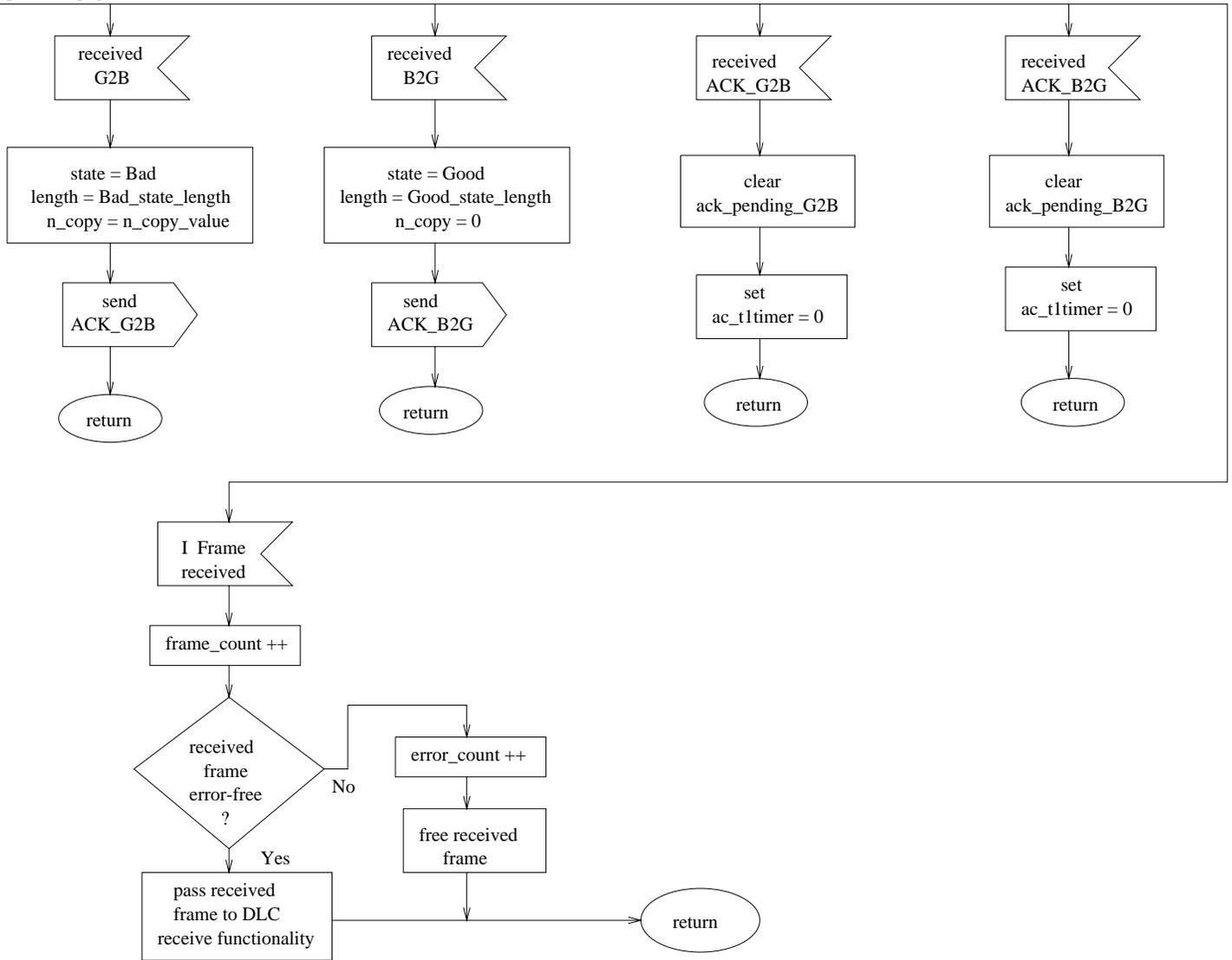


Figure 3.13: Flow Diagram for Channel Estimation Process (contd.)

# Chapter 4

## Performance Measurements

In this chapter we shall discuss the performance of the RDRN adaptive data link protocol measured through a series of experiments. Since we have a complete running software implementation of the entire protocol, we study its performance through experiments with the real software as opposed to a theoretical analysis or through simulations.

### 4.1 Setup used for Performance Measurements

We have used a software loopback environment for conducting our experiments, consisting of two complete adaptive protocol stacks configured as remote nodes. The loopback was implemented by having the transmit function at the wireless ATM interface layer of one stack call the receive function of the corresponding layer of the other stack. An initial prototype version of the interface card was used to generate the receive interrupts whenever a wireless frame was delivered to the wireless ATM interface layer for transmission. The stacks were built on a 120 megahertz pentium PC running version 2.0.25 of the Linux operating system together with version 0.26 of the ATM on Linux

distribution obtained from the LRC in Switzerland [3]. A loopback environment was adopted as the development of all the hardware components needed for the complete system had not been completed at the time this work was done. However all the experiments detailed in the next few sections can easily be replicated on the real system once all the development is complete. The use of the loopback allows all the software functionality of both the transmit and receive components to be fully exercised independent of the hardware.

The loopback environment consists of two complete adaptive protocol stacks and allows use of applications running in both native-ATM mode as well as TCP/IP over ATM mode. Our initial tests used the well known *ping* program to exercise the entire transmit and receive paths in IP over ATM mode and confirm that the RDRN adaptive protocol layers were properly integrated with the ATM on Linux distribution software. Throughput was the metric chosen to evaluate the performance of the adaptive protocol. We used a variant of the well known *ttcp* program called *ttcp\_atm* to measure the throughput performance under various adaptive conditions as detailed in the following sections. The *ttcp\_atm* program works in native-ATM mode and was obtained as part of the ATM on Linux distribution software.

#### **4.1.1 Random Error Generator**

As part of our test setup we also developed software to help emulate the wireless channel by randomly introducing errors in the transmit path. Errors are introduced either by randomly dropping frames at the lowest layer of the loopback between the transmit and receive stacks or by corrupting the AHDLC flag byte of randomly chosen wireless frames which are detected as checksum errors at the receiver. The random error generator is very flexible and allows the error rate to be changed at predefined intervals during

a test thus enabling any desired error profile to be emulated. It takes in a set of frame error rates and the time intervals at which the error rate should be changed as input. The use of the random error generator allows us to test the working of the channel state estimation algorithm as well as the performance of the various adaptive features of the protocol as detailed in the following sections.

The standard *ttcp\_atm* program mentioned earlier provides the average throughput over the duration of a test. However in order to determine the effect of the changing error rate and the protocol adaptations to these changes on the throughput, we need to determine the instantaneous throughput. We have modified the ATM on Linux distribution to record a count of the number of received PDU's every second, thus giving a measure of the received throughput every second.

## 4.2 Optimal Frame Size for Good and Bad States

In all our experiments using the adaptive protocol we only consider the effect of random, independent bit errors. If  $p$  is the bit error rate and  $L$  is the length of the wireless frame, the frame error rate  $P$  is given by the following expression.

$$P = 1 - (1 - p)^L$$

Thus for a given bit error rate the longer the frame length, the larger is the frame error rate. This brings us to one of the first questions to be answered, viz., what the optimal frame length is for various error rates. For the purposes of this study, we assume that a channel BER of  $10^{-5}$  or lower means that the channel is in the good state while a BER higher than  $10^{-5}$  denotes a bad state.

In our first experiment we fix the BER at  $10^{-5}$  and consider frame sizes from one to ten cells per frame. Figure 4.1 shows the variation of throughput versus frame size. As the figure shows the maximum throughput of 2 Mb/s is obtained with a frame size

of 9 cells per frame. As the number of cells encapsulated in each frame increases from one cell per frame, the effect of the frame header and trailer overhead decreases and the throughput increases. However as the frame size becomes larger the resulting frame error rate also increases and this has an adverse impact on the throughput. Hence the curve in Figure 4.1 has a maximum. It should be noted that our emulation of the channel also includes a delay in the transmit path denoting the transmission time and channel propagation delay.

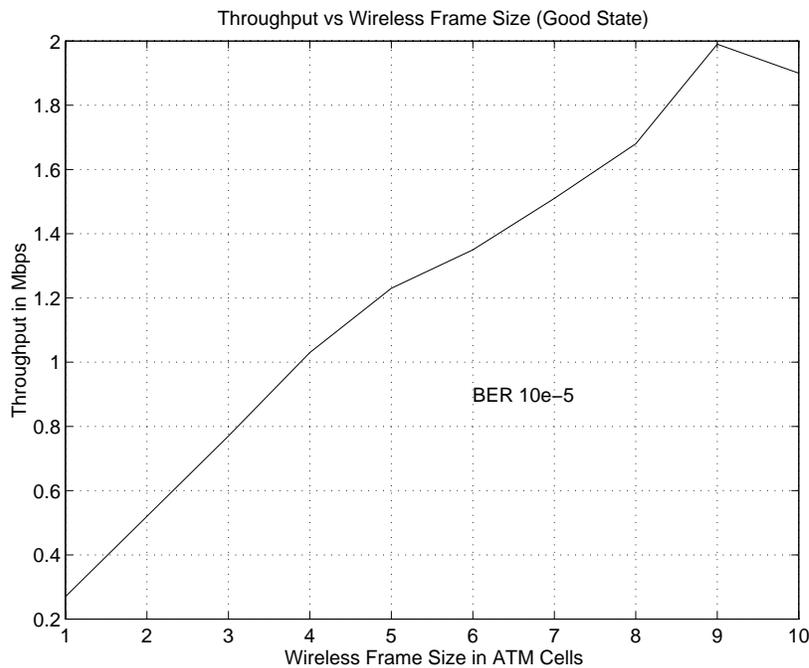


Figure 4.1: Variation of Throughput versus Frame Size when the Channel is in the Good State.

We also need to determine the optimal frame size in the bad state. Figure 4.2 shows the variation of throughput versus frame size at a BER of  $10^{-4}$ . As the figure shows the maximum throughput is obtained with a frame size of 3 cells per frame, and has a variation similar to that in Figure 4.1 which can be explained by a similar reasoning as earlier. The important thing to note from Figures 4.1 and 4.2 is the large decrease in maximum throughput at a BER of  $10^{-4}$  which corresponds to a frame error rate of 1 in

8 frames. This is due to the increase in the number of retransmissions required at the higher BER and seems to indicate that a BER of  $10^{-5}$  or lower must be maintained in our system in order to obtain reasonable performance.

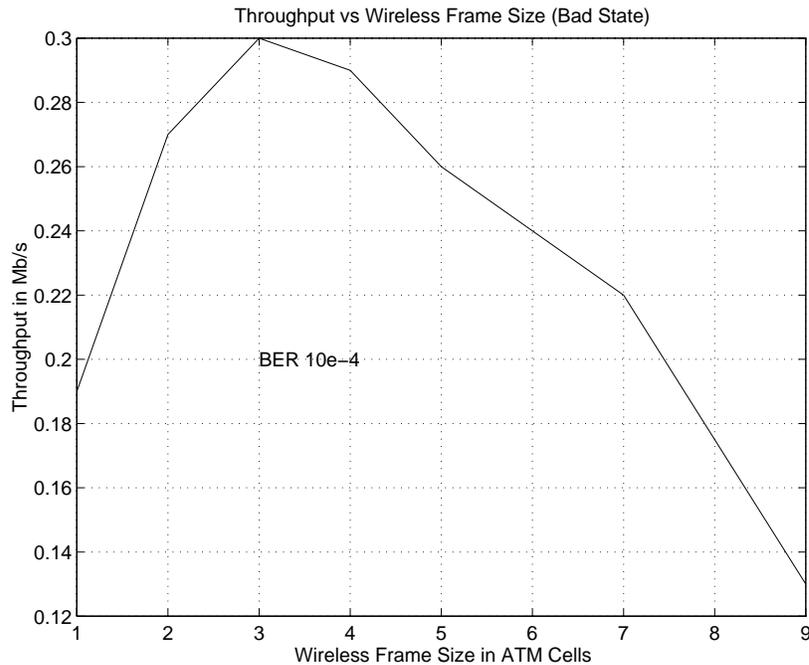


Figure 4.2: Variation of Throughput versus Frame Size when the Channel is in the Bad State.

### 4.3 Effect of Adaptive Frame Lengths on Throughput

Having determined the optimal frame sizes in the good and bad states, we now evaluate the effect of adapting the frame size to the current channel state. For the purpose of these experiments we assume a BER of  $10^{-6}$  in the good state and a BER of  $10^{-4}$  in the bad state. Given the results of the previous section and the knowledge that the optimal frame size increases as the error rate decreases, we use a frame size of 10 cells per frame in the good state and a frame size of 3 cells per frame in the bad state. In each of the following experiments we vary the BER between  $10^{-6}$  and  $10^{-4}$  once every 12

seconds.

The plot in Figure 4.3 shows the variation of throughput versus time as the error rate changes and without any channel adaptations. The throughput is measured every second over the duration of the test. Region A and C of Figure 4.3 correspond to the good state while region B corresponds to the bad state. We see a sharp drop in throughput around the 12 second mark on transition to the bad state and a corresponding sharp rise in throughput around the 24 second mark on transition back to the good state. The average throughput in the bad state is around 0.13 Mb/s which is as expected from Figure 4.2. However we see that the average throughput in region A and in region C are not the same as one might expect. This appears to be due to the length of time between timer interrupts which is 10ms in Linux. In region A, data is still being sent down the stack by the transmit side of the *ttcp\_atm* application and the send window of the adaptive data link layer is checked for space whenever data is received from the higher layer for transmission. If there is no space in the send window at the time cells are received from the higher layer, they are added to the *write\_queue* awaiting transmission. Thus the send window is checked for space more often than once every 10ms while in this region. In region B and C the transmit side has finished sending all its data down the stack and cells still not transmitted are on the *write\_queue*. The send queue is now checked only once in 10ms at every timer interrupt and hence the throughput is lower in region C as compared to region A.

Having seen the variation in throughput as the channel alternates between the good and bad states, we now evaluate the effect of the adaptive frame length mechanism under similar conditions. As before, we vary the error rate between  $10^{-6}$  and  $10^{-4}$  every 12 seconds and measure the throughput every second. The plot in Figure 4.4 shows the variation in throughput as the error rate changes. As we can see from the figure, the average throughput in region A and C while the channel is in the good

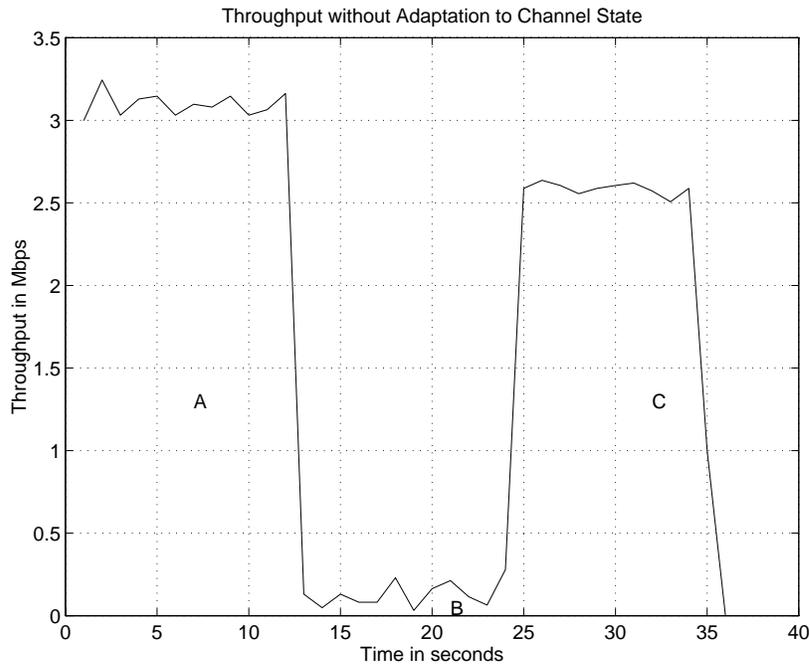


Figure 4.3: Variation of Throughput without any Adaptive Mechanisms.

state is about the same as that obtained without adaptation earlier. However the average throughput in region B while the channel is in the bad state is around 0.32 Mb/s which is almost three times that obtained earlier. This indicates that the channel state estimation algorithm is able to correctly detect the change in channel state and that the concept of adapting the frame size to the channel state is indeed beneficial. The throughput of 0.32 Mb/s obtained in the bad state is as expected from Figure 4.2. Thus the use of the adaptive frame length mechanism produces much better throughput in the bad state and consequently increases the average throughput over the duration of the entire test.

#### 4.4 Effect of N\_Copy Mechanism on Throughput

The purpose of our next experiments are to evaluate the effect of the pre-emptive re-transmission or N\_Copy mechanism on the throughput performance and to determine

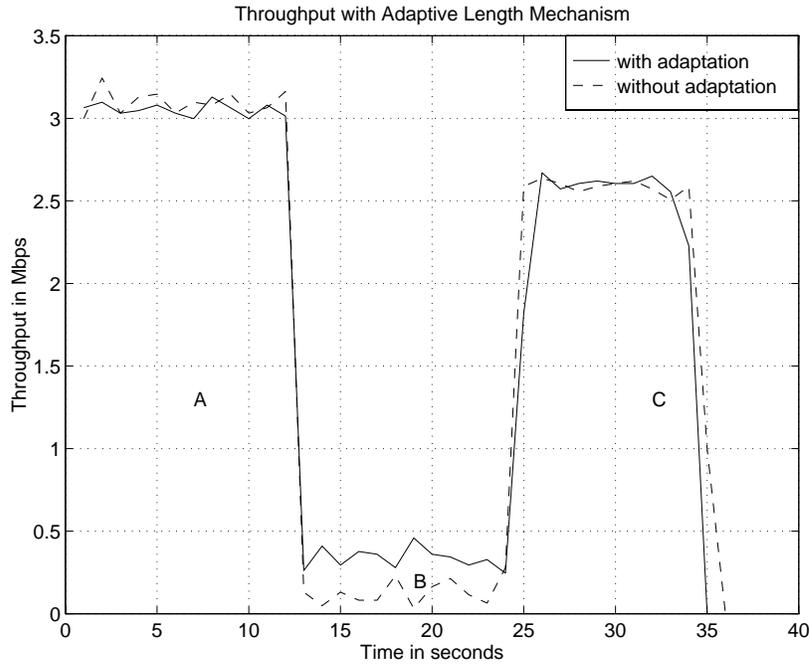


Figure 4.4: Variation of Throughput with Adaptive Length Mechanism.

the best value of  $N$  to use. As before, we vary the error rate between  $10^{-6}$  and  $10^{-4}$  every 12 seconds and measure the throughput every second. The plots in Figures 4.5 and 4.6 show the variation in throughput as the error rate changes, for pre-emptive re-transmissions with 2 and 3 copies respectively. As expected the throughput in regions A and C of both figures is the same as that obtained without adaptations from Figure 4.3. However in region B while the channel is in the bad state, both the 2-copy and 3-copy mechanisms produce much better throughput performance, with 3-copy being the better of the two. The average throughput in the bad state for 2-copy is 0.34 Mb/s while that with 3-copy was 0.42 Mb/s. Thus 3-copy is clearly the scheme that delivers the best overall channel throughput over the duration of the test.

There is however one limitation of our test setup that becomes apparent when the pre-emptive retransmission scheme is used. As can be seen from Figures 4.5 and 4.6 the error rate changes from  $10^{-4}$  to  $10^{-6}$  around the 25 second mark instead of at the

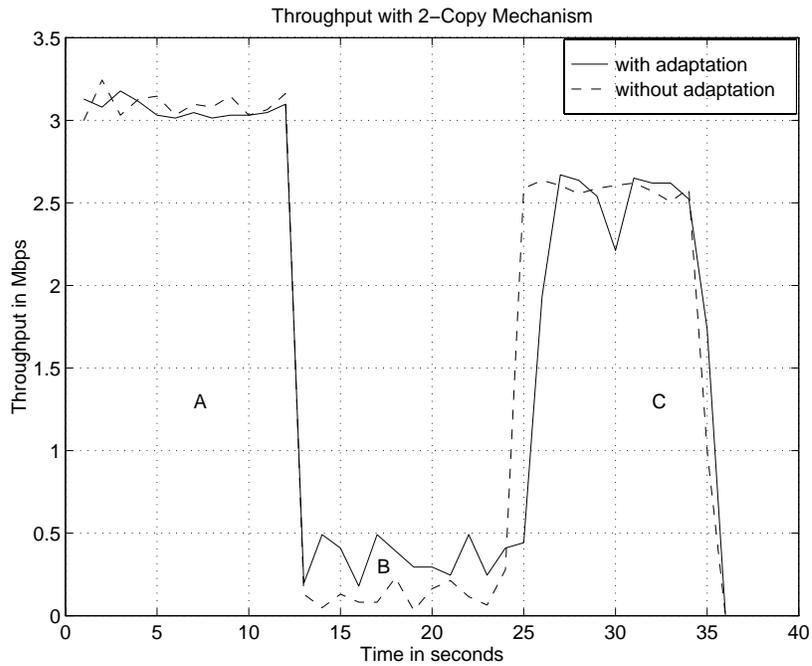


Figure 4.5: Variation of Throughput with 2-Copy Mechanism.

24 second mark as intended. This appears to be because the workload in transmitting 2 or more copies of each wireless frame for a complete window of frames is too much to be done within the 10ms between timer interrupts. Consequently the timer handler function which does the N\_Copy transmission takes longer than 10ms to execute and subsequent timer interrupts are delayed. This delay accumulates over the period that the channel is in the bad state and transmitting N\_copies of each frame and results in the channel remaining in the bad state for one second longer as seen in Figure 4.6. This again is not a problem with the pre-emptive retransmission mechanism but a result of our test setup and should disappear when a faster machine is used for tests.

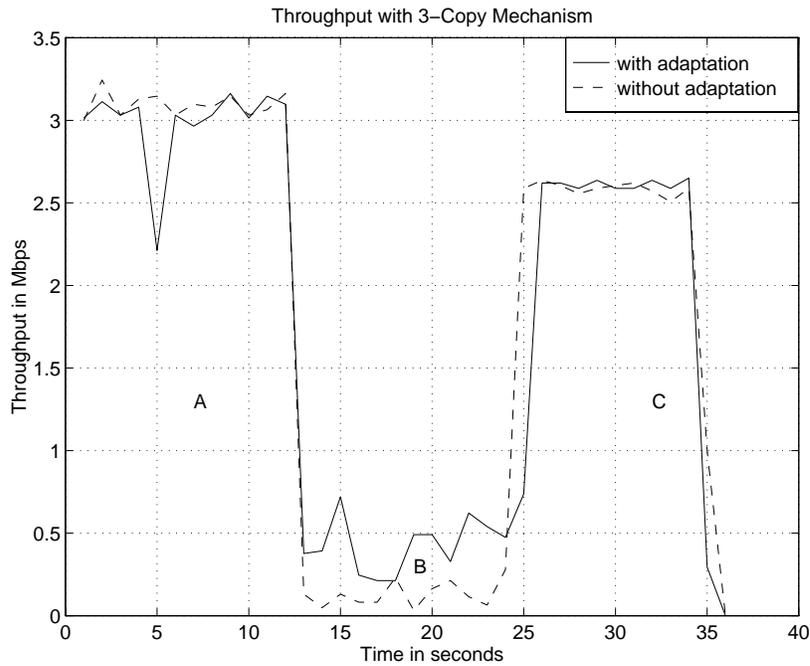


Figure 4.6: Variation of Throughput with 3-Copy Mechanism.

## 4.5 Effect of the Combination of Adaptive Frame Lengths and N\_Copy on Throughput

We finally determine the effect of using both the adaptive length and pre-emptive retransmission mechanisms when the channel is in the bad state. The plot in Figure 4.7 shows the variation in throughput as the error rate changes, with adaptive length and pre-emptive retransmissions with 2 copies while the plot in Figure 4.7 shows a similar variation with adaptive length and pre-emptive retransmissions with 3 copies. As we can see from Figure 4.9, there is not much to choose between these 2 schemes by way of performance with the average throughput in the bad state for both schemes being about 0.36 Mb/s.

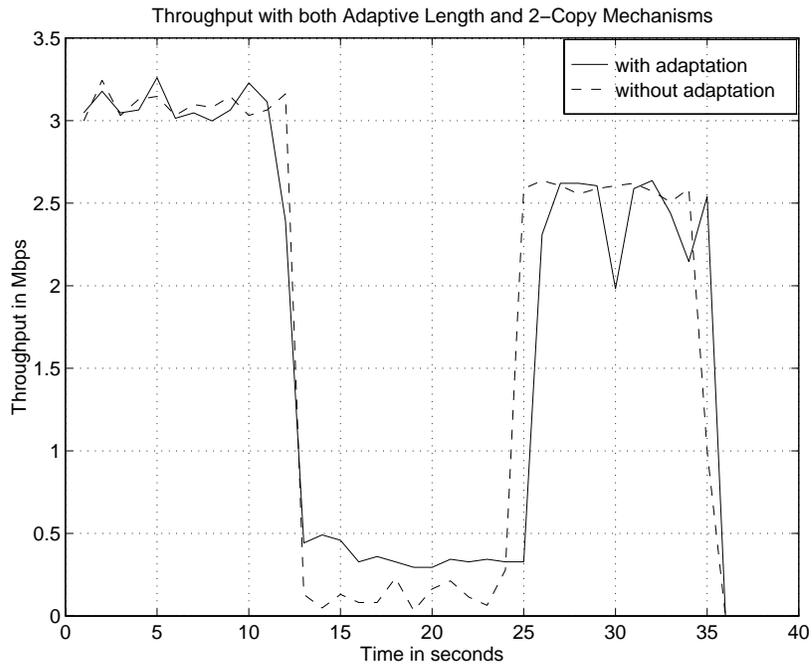


Figure 4.7: Variation of Throughput with Adaptive Length and 2-Copy Mechanisms.

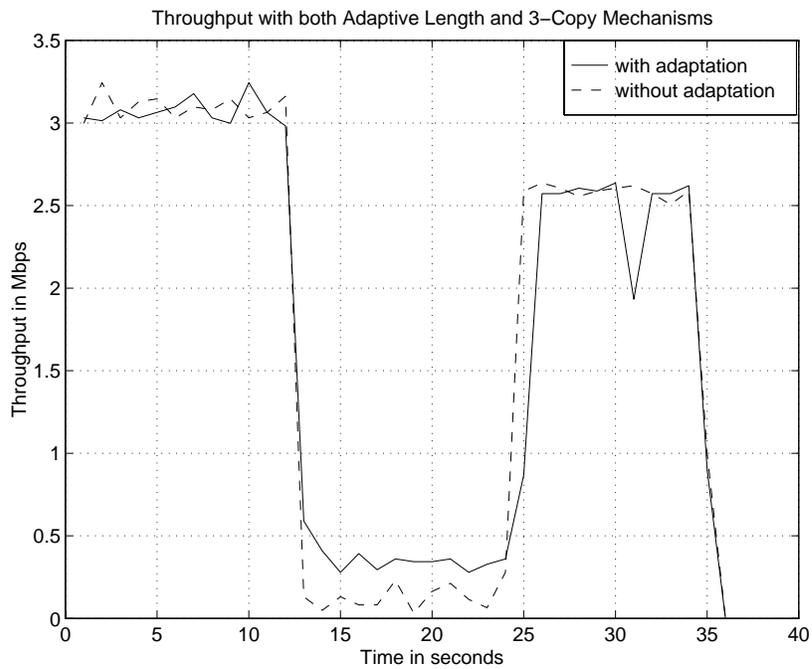


Figure 4.8: Variation of Throughput with Adaptive Length and 3-Copy Mechanisms.

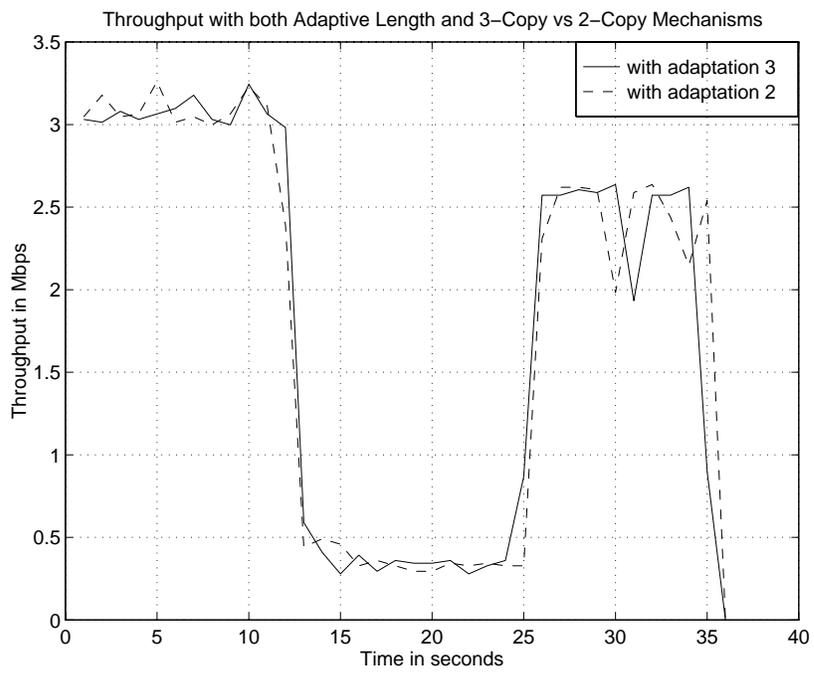


Figure 4.9: Variation of Throughput with Adaptive Length and 2-Copy vs Adaptive Length and 3-Copy Mechanisms.

# Chapter 5

## Conclusions

### 5.1 Summary of Results and Conclusions

This thesis described the design and development of an adaptive data link layer protocol for wireless ATM networks. The protocol handles error recovery and control over the wireless channel and provides the ATM layer with a more reliable transport medium. It treats delay-sensitive and delay-insensitive traffic differently thus allowing standard ATM QOS parameters to be extended over the wireless portion of the network. Delay-insensitive traffic received with errors is retransmitted thus resulting in zero losses at all channel error rates.

The entire RDRN adaptive protocol stack was integrated with the standard ATM on Linux distribution and supports applications using both TCP/IP over ATM and native-ATM.

A simple channel state estimation algorithm was also implemented at the data link layer level. The estimate obtained from this algorithm was used to adapt the protocol operation to the channel state. Assuming random, independent bit errors and a BER of  $10^{-5}$ , a wireless frame size of 9 cells per frame was found to produce the highest

throughput. A frame size of 3 cells per frame was found to be optimal considering a BER of  $10^{-4}$  which was considered to denote a bad state.

Using the adaptive length mechanism, the wireless frame size was adapted to the channel state. Experiments with this adaptive feature produced a significantly higher throughput when the channel was in the bad state.

Using the pre-emptive retransmit or N\_Copy mechanism, multiple copies of each frame were sent when the channel was in the bad state as opposed to just one copy as with regular go-back-n. Experiments using 2 and 3 copies of each frame produced increased throughput than without the N\_Copy scheme, with N=3 giving the maximum average throughput in the bad state.

Experiments using both the adaptive length and N\_Copy schemes also resulted in an increase in throughput in the bad state though the increase in average throughput was less than that obtained with just the 3\_Copy scheme. Thus the adaptive mechanisms proposed in this work all resulted in increased average throughput in the bad state with the 3\_Copy mechanism producing the most increase.

It should be noted that the N\_copy scheme cannot be used for delay-sensitive traffic in our current implementation. This is because wireless frames carrying delay-sensitive traffic do not carry sequence numbers and hence there is no way to distinguish between copies of frames. However the adaptive length mechanism applied to delay-sensitive traffic was beneficial resulting in a 19% decrease in loss for a 10% decrease in throughput.

## **5.2 Some Suggestions for Future Work**

The implementation described in this thesis should serve as testbed for further work in software controlled adaptive protocols. There is a lot of scope for more work especially

in the area of adaptive error control coding. Various FEC coding schemes can be experimented with especially for use when the channel is in the good state thus transforming the protocol to hybrid ARQ as opposed to regular go-back-N.

Another extension to consider is adding sequence numbers to wireless frames carrying delay-sensitive traffic. This will enable the N\_Copy scheme to be used for this type of traffic also given that it has been found to deliver the best throughput when the channel is in the bad state.

Also the simple channel state estimation algorithm proposed in this work can be refined so that it becomes more accurate. This should eliminate some of the bogus state changes we observed during the experiments described in chapter 4 and remove the spikes observed in the throughput plots.

From an implementation standpoint, another area of future work is to revamp the memory allocation strategy to reflect any new memory management schemes for Linux when they become available with the next release of the kernel.

# Bibliography

- [1] Anthony Acampora. Wireless ATM: A Perspective on Issues and Prospects. *IEEE Personal Communications*, August 1996.
- [2] Prathima Agrawal, Eoin Hyden, Paul Kryzanowski, Partho Mishra, Mani B. Srivastava, and John A. Trotter. SWAN: A Mobile Multimedia Wireless Network. *IEEE Personal Communications*, April 1996.
- [3] Werner Almesberger. *Linux ATM*, December 1995. Web Page URL: <http://lrcwww.epfl.ch/linux-atm/>.
- [4] Werner Almesberger. *Linux ATM API*, July 1996. Web Page URL: <http://lrcwww.epfl.ch/linux-atm/>.
- [5] Ender Ayanoglu, Kai Y. Eng, and Mark J. Karol. Wireless ATM: Limits, Challenges and Proposals. *IEEE Personal Communications*, August 1996.
- [6] Rajive Bagrodia and Wen-Toh Liao. *Maisie User Manual Release 2.1*, June 1993.
- [7] N. D. Birrell. Pre-emptive Retransmission for Communication over Noisy Channels. *IEEE Proceedings*, 128, November 1981.

- [8] Herwig Bruneel and Marc Moeneclaey. On the Throughput Performance of Some Continuous ARQ Strategies with Repeated Transmissions. *IEEE Transactions on Communications*, COM-34(3), March 1986.
- [9] Stephen F. Bush, Sunil Jagannath, Joseph B. Evans, Victor Frost, Gary Minden, and K. Sam Shanmugan. A Control and Management Network for Wireless ATM Systems. *ACM-Baltzer Wireless Networks (WINET)*, 1997. To be published. Web Page URL: <http://www.tisl.ukans.edu/~sbush>.
- [10] Stephen F. Bush, Sunil Jagannath, Ricardo Sanchez, Joseph B. Evans, Victor Frost, and K. Sam Shanmugan. Rapidly Deployable Radio Networks (RDRN) Network Architecture. Technical Report 10920-09, Telecommunications & Information Sciences Laboratory, July 1995. Online version available at <http://www.tisl.ukans.edu/~sbush>.
- [11] L. F. Chang and J. C. I. Chuang. Outage Probability for a frequency-selective fading digital portable radio channel with selection diversity using coding. In *IEEE International Conference on Communications*, 1990.
- [12] K. Y. Eng, M. J. Karol, M. Veeraraghavan, E. Ayanoglu, C. B. Woodworth, P. Pancha, and R. A. Valenzuela. BAHAMA: A Broadband Ad-Hoc Wireless ATM Local-Area Network. In *Proceedings of ICC'95*, February 1995.
- [13] Benjamin Ewy, Craig Sparks, and K. Sam Shanmugan. An Overview of the Rapidly Deployable Radio Network Proof of Concept System. Technical Report 10920-16, Telecommunications & Information Sciences Laboratory, July 1995.
- [14] D. D. Falconer. A System Architecture for Broadband Millimeter-wave Access to an ATM LAN. *IEEE Personal Communications*, August 1996.

- [15] Shane Haas. A Consistent Labeling Algorithm for the Frequency/Code Assignment in a Rapidly Deployable Radio Network (RDRN). Technical Report TISL-10920-04, Telecommunications & Information Sciences Laboratory, Jan 1995.
- [16] IEEE. *AX.25 Amateur Packet Radio Link-Layer Protocol*, October 1984.
- [17] B M Leiner, D L Neilson, and F A Tobagi. Issues in Packet Radio Network Design. *Proceedings of the IEEE*, January 1987.
- [18] H. W. H. Li and J. K. Cavers. An Adaptive Filtering Technique for pilot-aided transmission systems. *IEEE Transactions on Vehicular Technology*, 40, 1991.
- [19] J. Porter, A. Hopper, D. Gilmurray, O. Mason, J. Nylon, and A. Jones. The ORL Radio ATM System, Architecture and Implementation. Technical report, Olivetti Research Ltd. and University of Cambridge Computer Laboratory, January 1996. Available at <ftp://ftp.ori.co.uk/pub/docs/ORL/paper.96.2.ps.Z>.
- [20] John Porter and Andy Hopper. An ATM-Based Protocol for Wireless LANs. Technical Report Tech. Rep. 94.2, Olivetti Research Ltd., April 1994. Available at <ftp://ftp.cam-ori.co.uk/pub/docs/ORL/tr.94.2.ps.Z>.
- [21] Dipankar Raychaudhuri. WirelessATM Networks: Architecture, System Design and Prototyping. *IEEE Personal Communications*, August 1996.
- [22] Dipankar Raychaudhuri and Newman D. Wilson. ATM-Based Transport Architecture for Multi-services Wireless Personal Communication Networks. *IEEE Journal of Selected Areas in Communication*, June 1994.
- [23] A. R. K. Sastry. Improving Automatic Repeat-Request (ARQ) Performance on Satellite Channels Under High Error Rate Conditions. *IEEE Transactions on Communications*, April 1975.

- [24] Eric L. Scace. Introducing System Description Language for AX.25 and Related Protocols. In *Proceedings of the 7th AARL Amateur Radio Computer Networking Conference*, October 1988.
- [25] Daniel J. Costello Jr. Shu Lin and Micheal J. Miller. Automatic-Repeat-Request Error-Control Schemes. *IEEE Communications Magazine*, 22(12), December 1984.
- [26] H. Xie, P. Narasimhan, R. Yuan, and D. Raychaudhuri. Data Link Control Protocols for Wireless ATM Access Channels. In *International Conference on Universal Personal Communications (ICUPC)*, Nov 1995.
- [27] Yu-Dong Yao. An Effective Go-Back-N ARQ Scheme for Variable-Error-Rate Channels. *IEEE Transactions on Communications*, 43(1), January 1995.
- [28] Michele Zorzi and Ramesh R. Rao. Performance of ARQ Go-Back-N protocol in Markov Channels with Unreliable Feedback: Delay Analysis. In *International Conference on Universal Personal Communications (ICUPC)*, Nov 1995.