



# CHARACTERIZATION OF POLARIZATION-MODE DISPERSION ON BURIED STANDARD SINGLE- MODE FIBERS

---

Pradeep Kumar Kondamuri

Committee:

Dr. Chris Allen (Chair)

Dr. Ron Hui

Dr. Jim Stiles



# OVERVIEW

---

- Introduction
- PMD concepts
- PMD measurement methods: JME method
- Measurement setup
- Measurement results and data analysis
- Conclusions and Future work



# INTRODUCTION

---

- Fiber-optic communication technology is barely three decades old but it has made tremendous progress
- Currently fourth-generation fiber-optic systems are in use.
- Problems in the past included huge fiber loss, inter-modal dispersion, chromatic dispersion, electronic repeaters, etc.
- As the bit rate approaches  $>10$  Gb/s per channel, current fiber-optic systems face a different dispersion impairment called ‘polarization-mode dispersion’ (PMD)
- PMD is random in nature and so statistical characterization is necessary for better understanding



# PMD CONCEPTS

---

## ■ PMD in fibers

- Fundamental property of single-mode fibers
- Signal energy at a given  $\lambda$  is resolved into two orthogonal polarization modes with different refractive indices
- Difference in propagation time between both modes is differential group delay (DGD)
- PMD is a vector quantity in Stokes space
- Specified using PMD coefficient (ps/(km) or ps/(km)<sup>1/2</sup>)

## ■ Causes of PMD

- Birefringence
- Mode coupling



# BIREFRINGENCE AND MODE COUPLING

---

## ■ Birefringence

- Despite their name, ‘single-mode’ fibers support two orthogonal modes of propagation
- Loss of degeneracy of the two modes is called birefringence
- Intrinsic and extrinsic factors
- PMD is typically larger in older fibers

## ■ Mode coupling

- Energy of light pulse launched in one mode will couple into the other and vice versa as it propagates along the fiber until both the modes are equally populated
- The length of the fiber at which the average power in one mode is within  $1/e^2$  of that of other is coupling length,  $L_c$
- Short fibers ( $L \ll L_c$ ) and long fibers ( $L \gg L_c$ )



# PRINCIPAL STATES MODEL

---

- Developed by Poole and Wagner in 1986
- Assumes coherence time of source is high and PDL (polarization-dependent loss) in the link is negligible
- It states that for a length of fiber, there exists for every frequency a special pair of orthogonal polarization states, called the Principal states of polarization (PSPs)
- PSP– input polarization for which output state of polarization is independent of frequency to first order
- In time-domain, a light pulse launched in any PSP results in an output pulse that is undistorted to first order
- Difference in time delays of the two PSPs is DGD



# SYSTEM IMPAIRMENTS DUE TO PMD

---

- PMD causes pulse spreading and distortion and thus can lead to system penalties
- In digital systems, DGD results in intersymbol interference (ISI) and hence power penalty
- Second-order PMD results in polarization-dependent chromatic dispersion (PCD) and PSP depolarization
- PMD induces coherent cross-talk between channels in polarization-multiplexed transmission systems



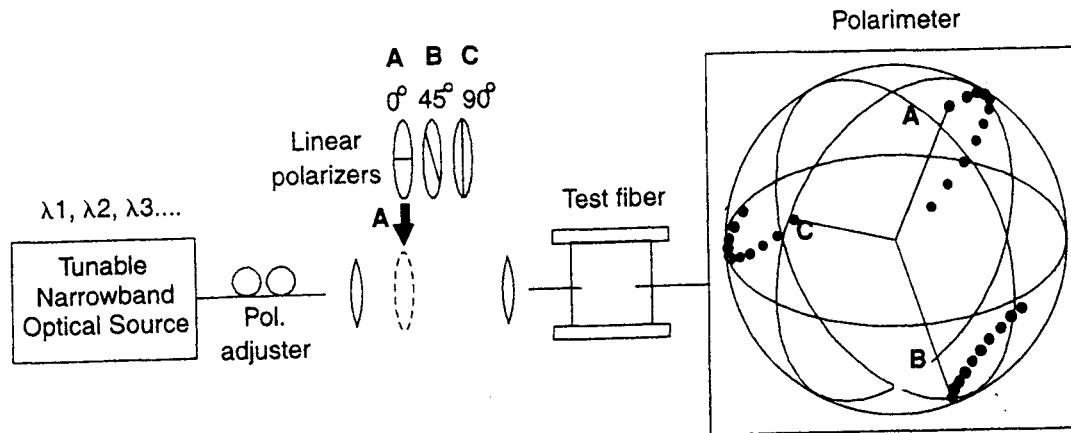
# PMD MEASUREMENT METHODS

---

- **Time-domain methods**
  - Operate by sensing pulse delays
  - Slow because of the need to determine PSPs experimentally
  - Generally not suitable for field measurements
- **Frequency-domain methods**
  - Operate by detecting changes of polarization with frequency
  - Suitable for field measurements
- Some methods measure scalar instantaneous DGD, few measure mean DGD and some others measure instantaneous PMD vectors



# JONES MATRIX EIGENANALYSIS (JME) METHOD



PMD measurement  
by JME method  
(Frequency-domain  
method)

- Measures instantaneous DGD vectors
- Any polarized signal can be expressed as a Jones vector
- Jones matrix describes the polarization-transforming characteristic of a two-port device
- Measurement of Jones matrix requires application of 3 known states of linearly polarized light to the DUT

# JONES MATRIX EIGENANALYSIS (JME) METHOD (cont'd ..)

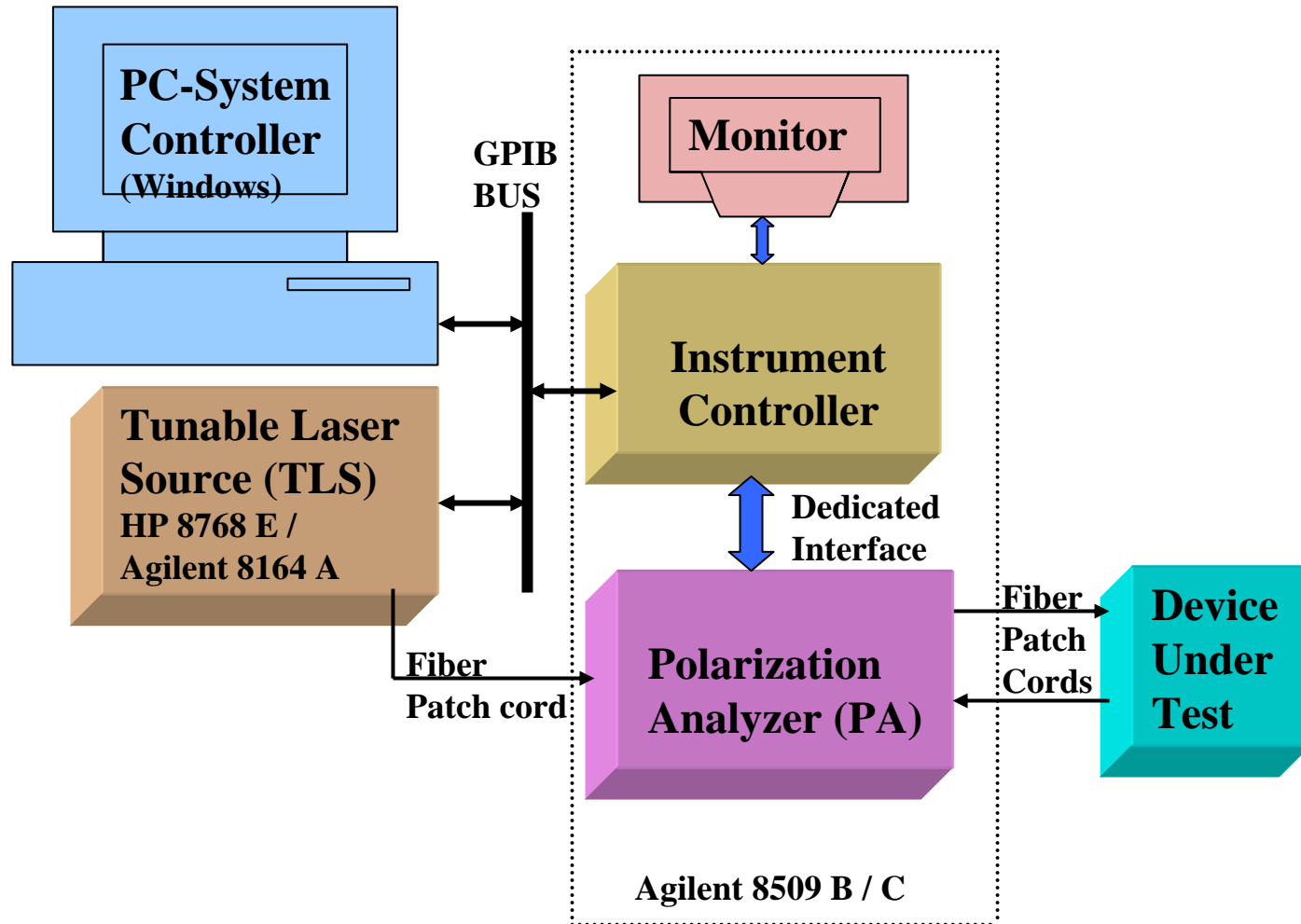
- Jones matrix is determined from the relationship of the measured output states to the known input states
- To determine DGD at a particular  $\lambda$ , Jones matrices at two different  $\lambda$ s equally spaced about  $\lambda$  are measured
- DGD,  $\Delta\tau$ , is then determined using

$$\Delta \tau = \left| \frac{\text{Arg} \left( \frac{\rho_1}{\rho_2} \right)}{\Delta \omega} \right|$$

$\rho_1$  and  $\rho_2$  are the Eigen values of  $T(\omega+\Delta\omega)T^{-1}(\omega)$ ; T is Jones matrix  
Eigen vectors of  $T(\omega+\Delta\omega)T^{-1}(\omega)$  locate the output PSP as a function of  $\omega$

- This method can be readily automated
- Not always practical in field tests

# MEASUREMENT SETUP



Measurement setup for making automated DGD measurements.



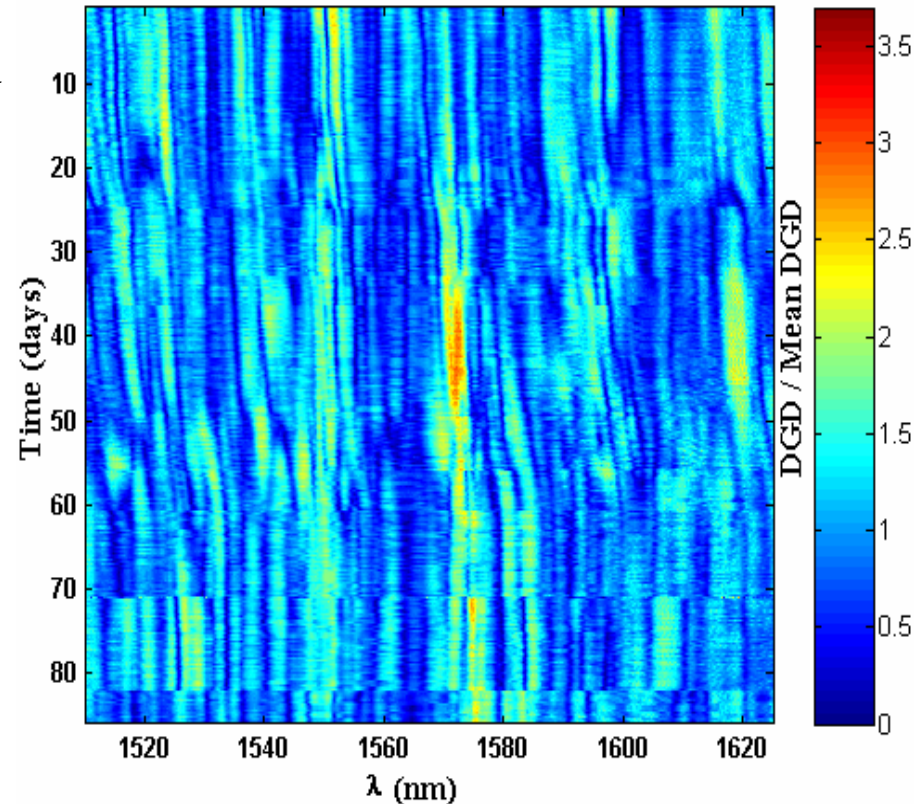
## MEASUREMENT SETUP (cont'd..)

---

- Visual basic software running on the system controller controls the system
- One measurement at a specific  $\lambda$  and time takes  $\sim 4$  sec.
- Max. measurable DGD with 0.1 nm step is  $\sim 40$  ps
- Measurement uncertainty is  $\sim \pm 310$  fs for 0.1 nm step
- Data automatically saved into text files (8 kB - 30 kB)
- Measurement system is usually very reliable, but occasionally (once in a month or so) any of the instruments might become frozen

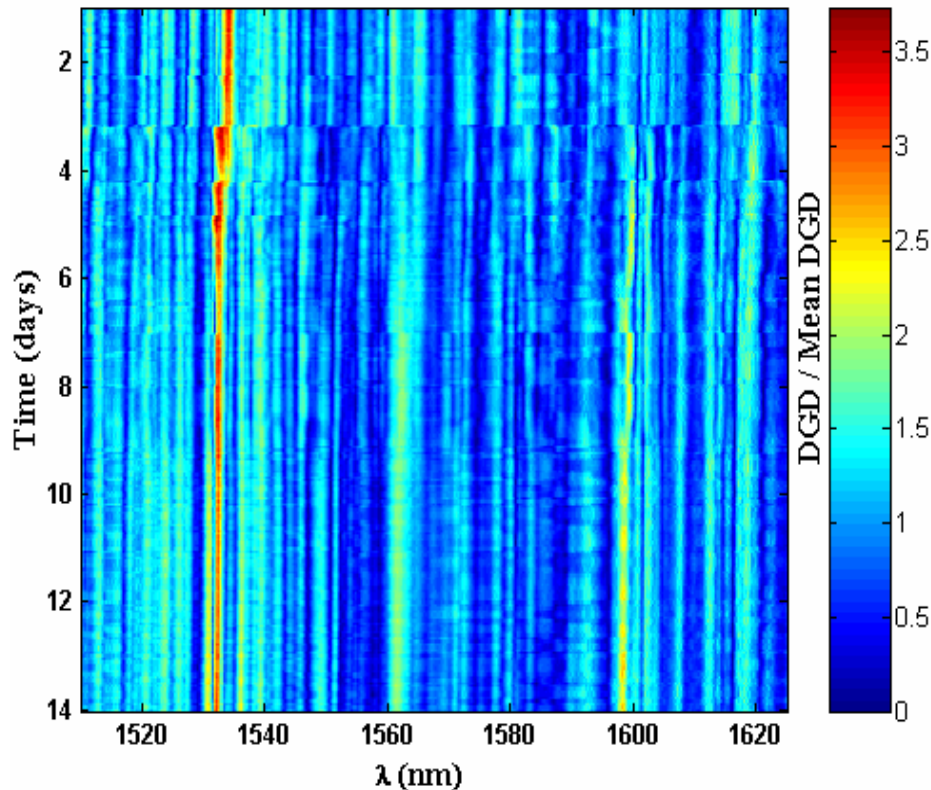
# MEASUREMENT RESULTS AND DATA ANALYSIS: INDIVIDUAL FIBER SPANS

- 3 different 95-km fibers (1, 2, and 3) within a slotted-core, direct buried, standard single-mode fiber-optic cable
- Wavelength band: 1510 – 1625 nm
- Spectral resolution: 0.1 nm
- Measurement repetition:
  - on span 1, once every 3 hrs
  - on spans 2 and 3, once every 1 ½ hours

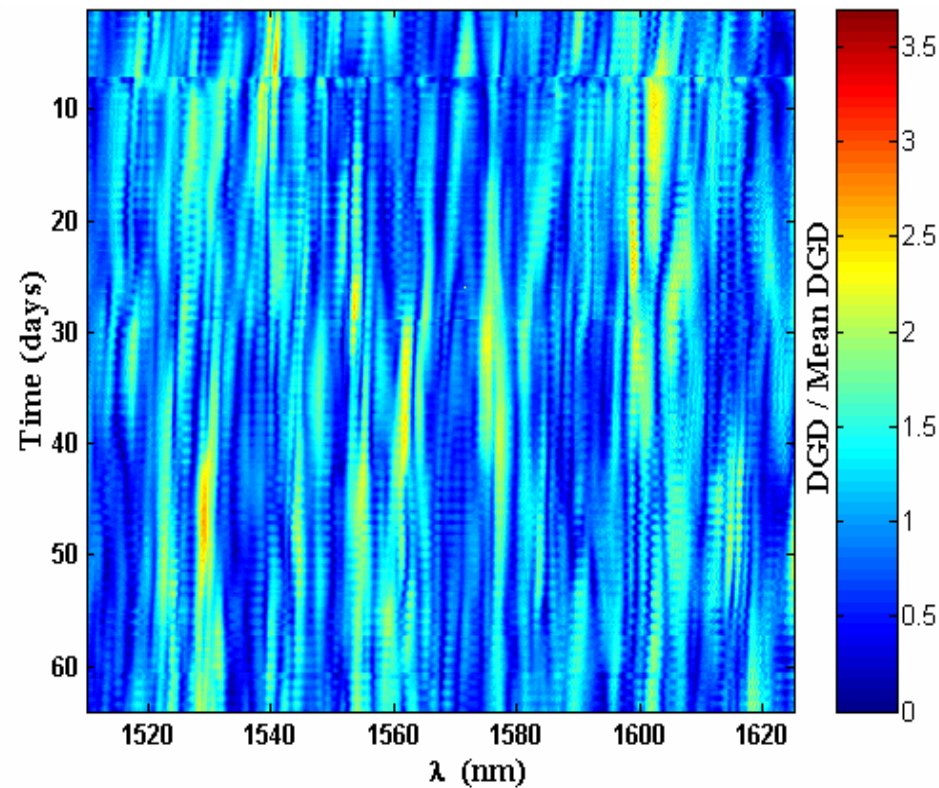


Measured, normalized DGD vs. wavelength and time for fiber span 1 (86 days, Nov. 9, 2001 – Feb. 2, 2002)

## COLOR MAPS (cont'd)

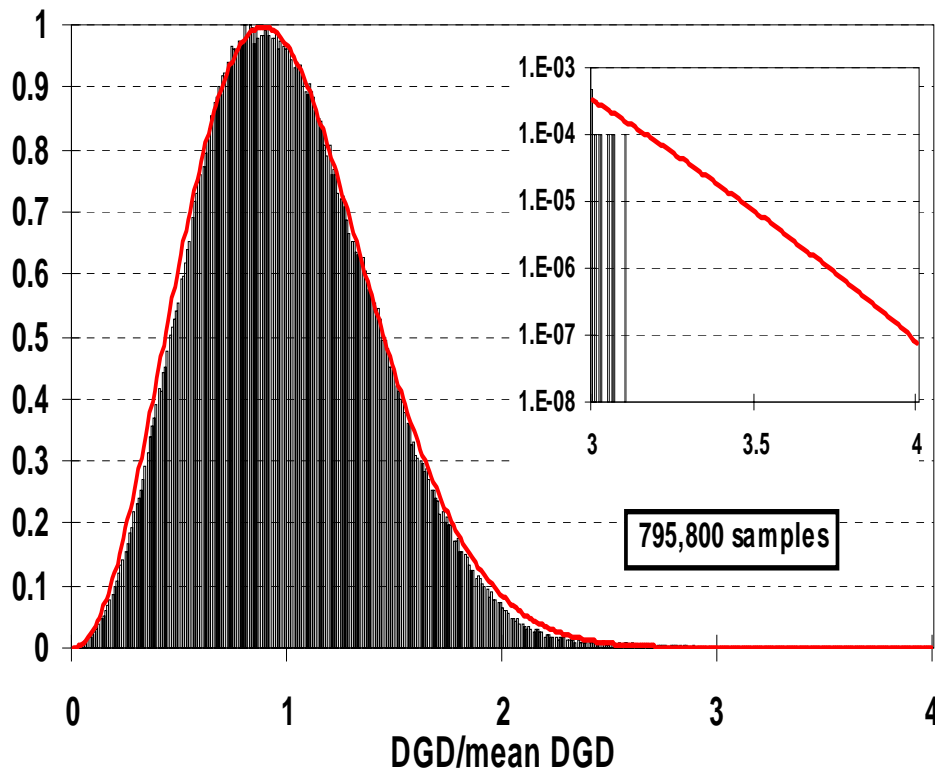


Measured, normalized DGD vs. wavelength and time for fiber span 2 (14 days, May 4, 2002 – May 18, 2002)

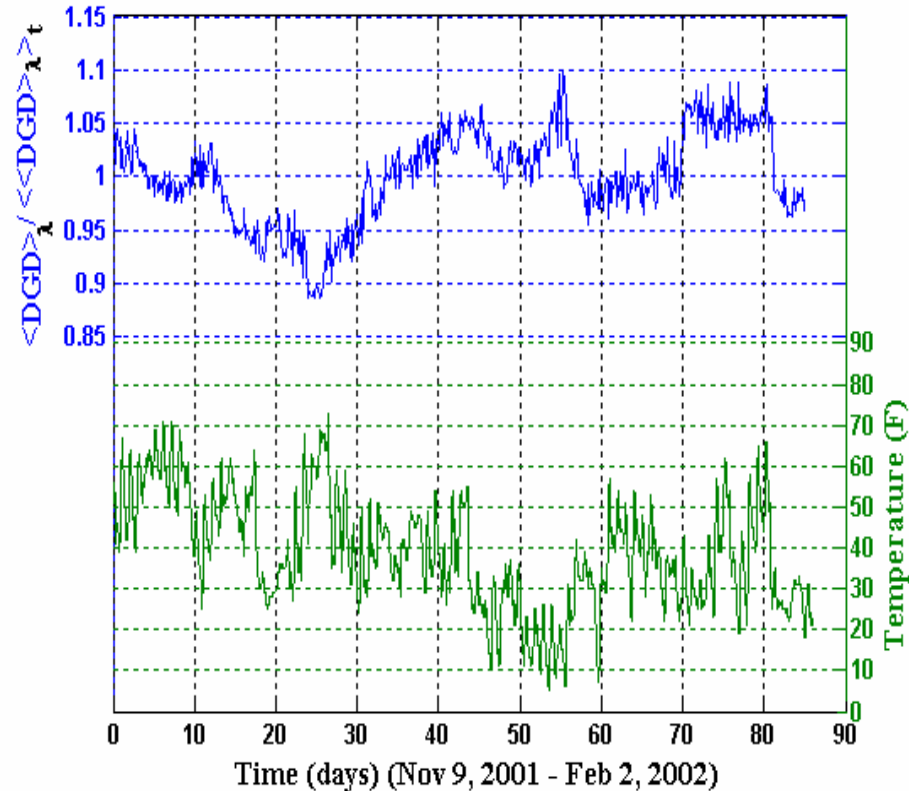


Measured, normalized DGD vs. wavelength and time for fiber span 3 (64 days, May 29, 2002 – Aug. 1, 2002)

# DGD HISTOGRAM AND MEAN DGD VARIATION (SPAN 1)



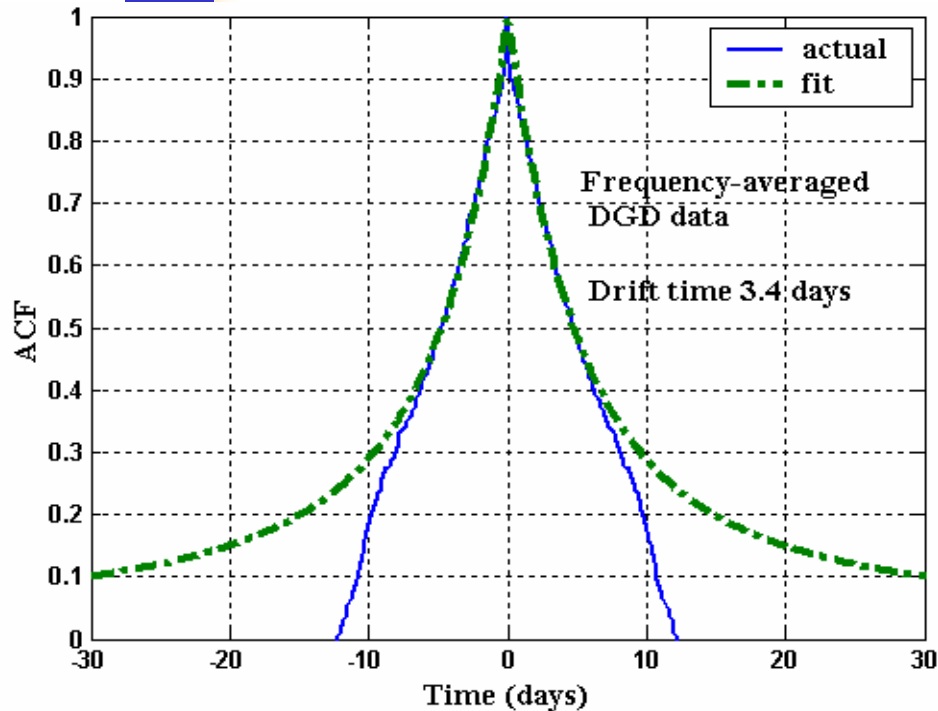
Histogram of measured, normalized DGD data on fiber span 1



Frequency-averaged DGD and temperature vs. time for fiber span 1

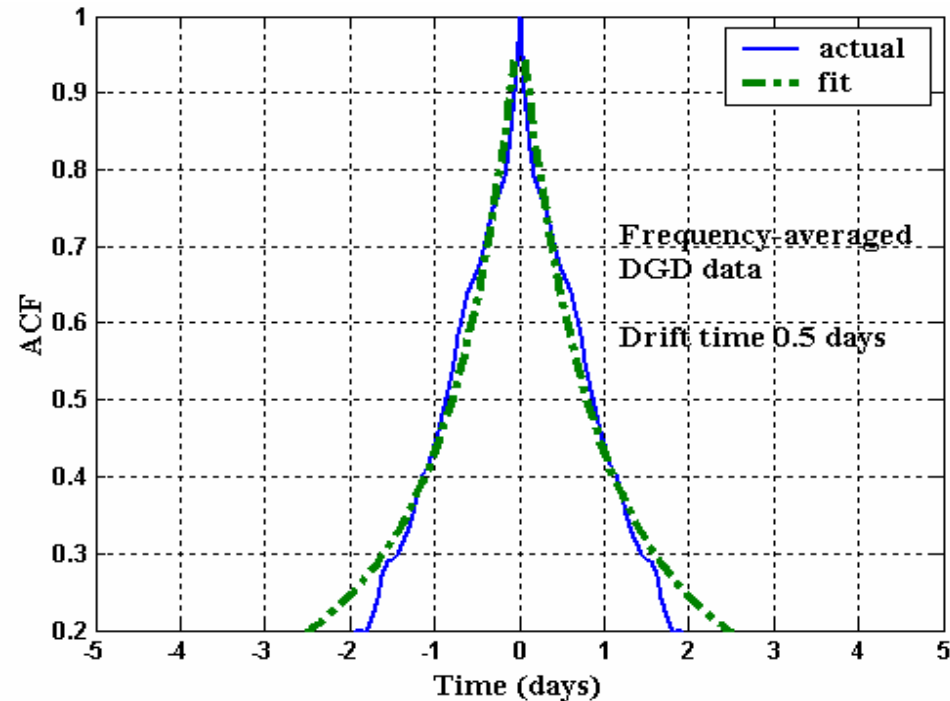


# TEMPORAL AUTOCORRELATION FUNCTIONS (ACFs) (SPANS 1 AND 2)



Normalized temporal ACF of frequency-averaged DGD data on fiber span 1 and its theoretical curve-fit

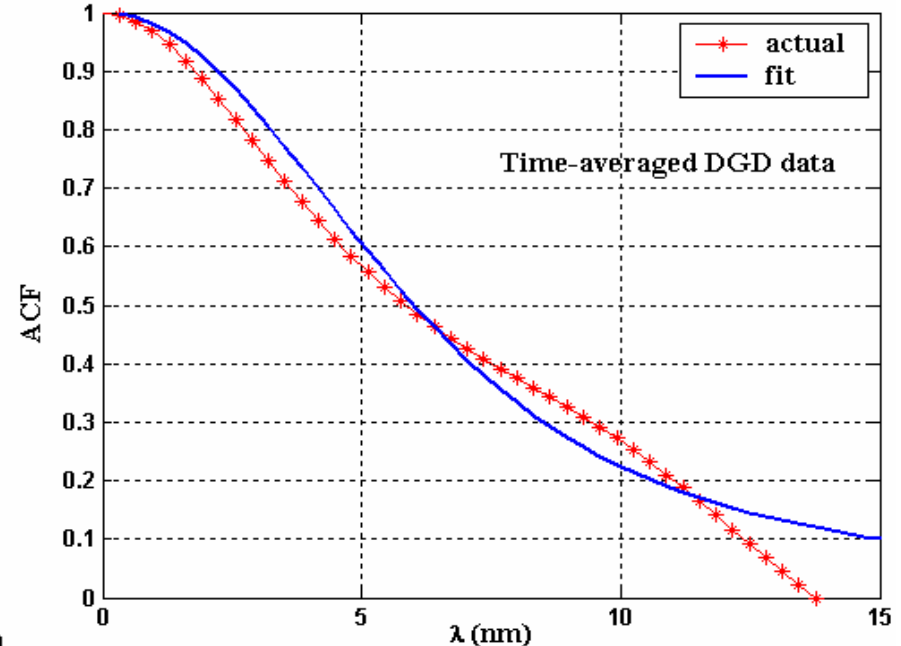
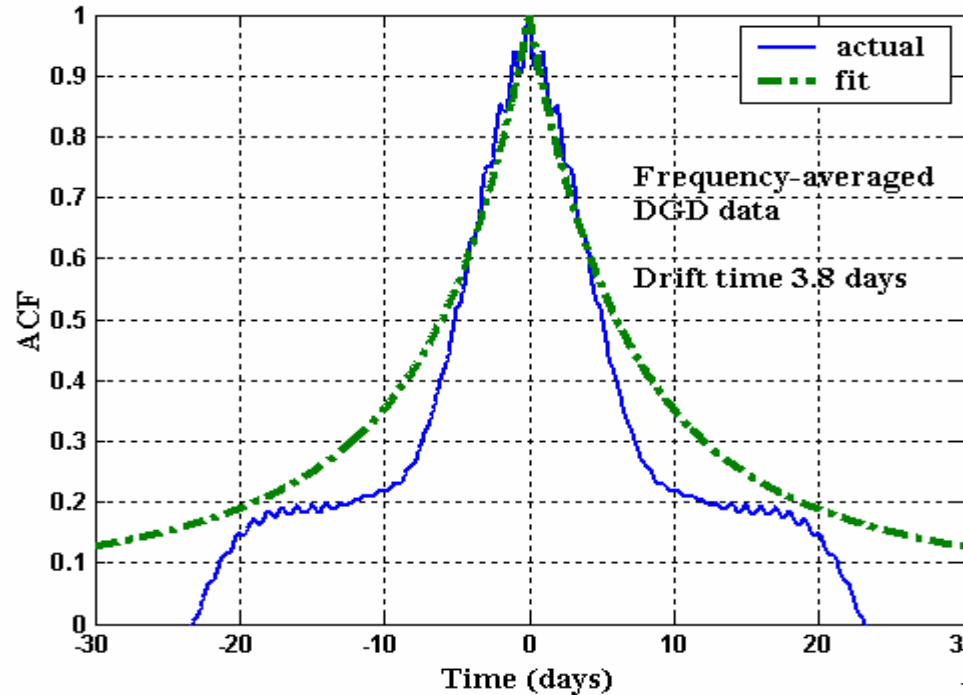
$$\text{Theoretical fit: } ACF(\Delta t) = \frac{1 - \exp(-|\Delta t|/t_d)}{|\Delta t|/t_d} \quad (\text{Source: Paper by Karlsson et al., JLT, Vol 18, July 2000})$$



Normalized temporal ACF of frequency-averaged DGD data on fiber span 2 and its theoretical curve-fit



# TEMPORAL ACF (SPAN 3) AND SPECTRAL ACF (SPAN1)



Normalized temporal ACF of frequency-averaged DGD data on fiber span 3 and its theoretical curve-fit

Normalized spectral ACF of time-averaged DGD data from fiber span 1 and its adjusted theoretical curve-fit

$$\omega_c = 4\sqrt{2} / \langle \Delta \tau \rangle$$

$$\text{Theoretical fit: } ACF(\Delta \omega) = 3 \frac{1 - \exp(-\langle \Delta \tau^2 \rangle \Delta \omega^2 / 3)}{\Delta \omega^2}$$

(Source: Paper by Karlsson et.al, Optics Letters, Vol 24, July 1999)



# SYSTEM OUTAGE ANALYSIS

---

- Goal is to determine outage probability, mean outage rate and mean outage duration as a function of threshold/ $\langle \Delta\tau \rangle$
- From “WDM design issues with highly correlated PMD spectra of buried optical cables” by Caponi et.al, OFC '02
  - Outage probability:  $P_{\text{out}} = P(\Delta\tau \geq \Delta\tau_{th}) = 1 - \int_0^{\Delta\tau_{th}} f_{\Delta\tau}(\Delta\tau) d\Delta\tau$   
 $P_{\text{out}}$  usually expressed in [minutes/year]  
 $\Delta\tau_{th}$  is threshold;  $f_{\Delta\tau}(\Delta\tau)$  is the pdf of DGD (Maxwellian)
  - Mean outage rate:  $R_{\text{out}} =$  mean number of outage events per unit time [1/year]
  - Mean outage duration:  $T_{\text{out}} = P_{\text{out}}/R_{\text{out}} =$  mean duration of an outage event [minutes]



## SYSTEM OUTAGE ANALYSIS (cont'd)

$$R_{out} = \frac{1}{2} f_{\Delta\tau}(\Delta\tau_{th}) \int_{-\infty}^{\infty} |\Delta\tau'| f_{\Delta\tau'|\Delta\tau}(\Delta\tau'|\Delta\tau) \cdot d\Delta\tau'$$

$\Delta\tau'$  is the first derivative of  $\Delta\tau$  w.r.t time

$f_{\Delta\tau'|\Delta\tau}$  is the Conditional probability distribution

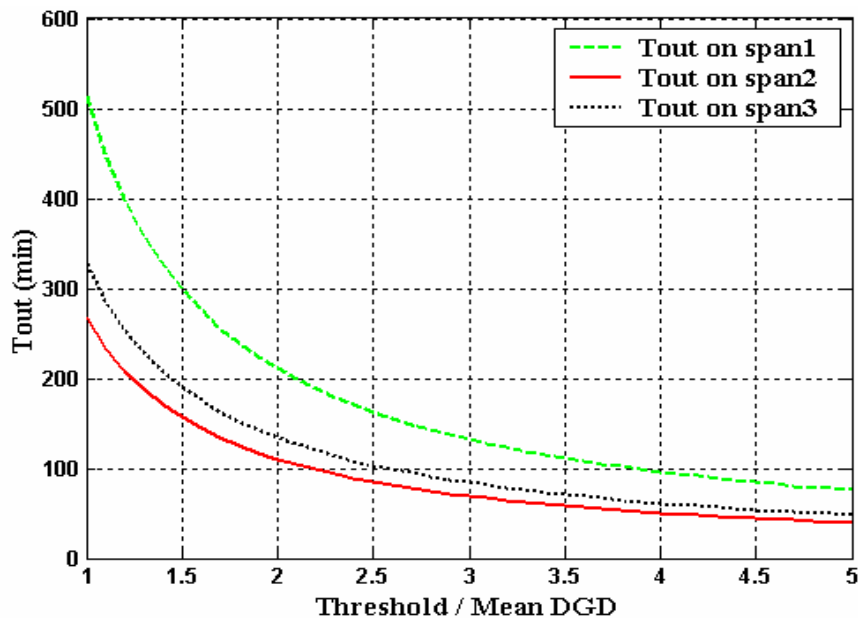
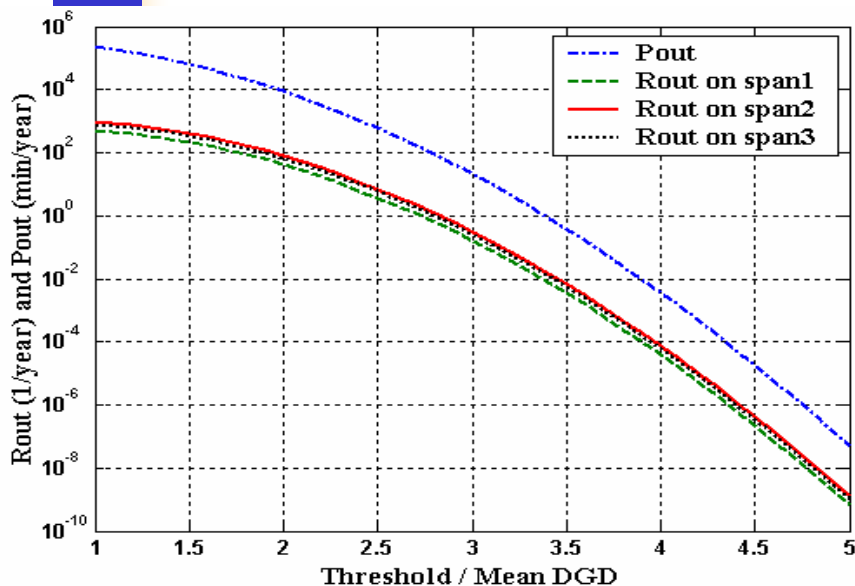
Caponi has showed that  $\Delta\tau'$  is independent of  $\Delta\tau$



$$R_{out} = \frac{1}{2} f_{\Delta\tau}(\Delta\tau_{th}) \int_{-\infty}^{\infty} f_{\Delta\tau'}(\Delta\tau') |\Delta\tau'| d\Delta\tau'$$

$f_{\Delta\tau}(\Delta\tau)$ , and  $f_{\Delta\tau'}(\Delta\tau')$  are the pdfs of DGD and first derivative of DGD

# $P_{out}$ , $R_{out}$ and $T_{out}$ values (Individual spans)

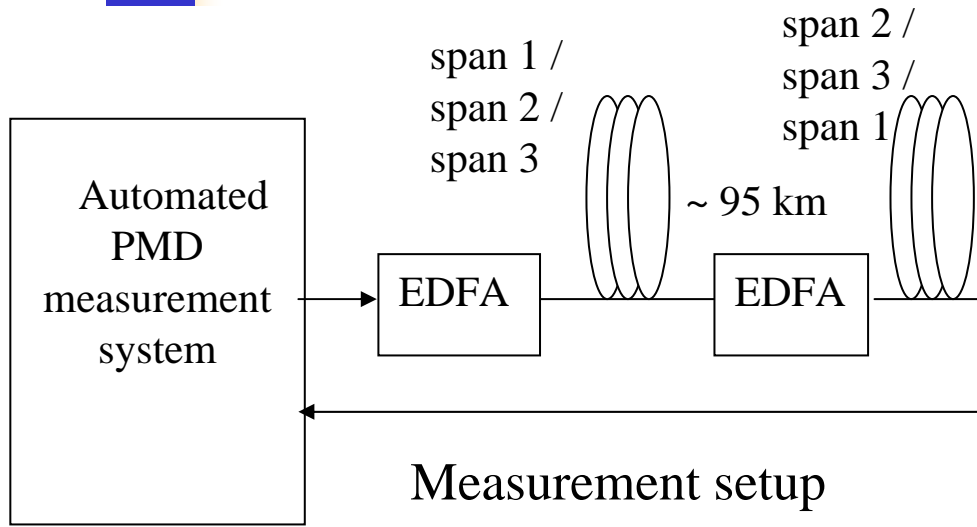


Calculated  $P_{out}$ ,  $R_{out}$ , and  $T_{out}$  versus threshold/mean DGD for the three fiber spans

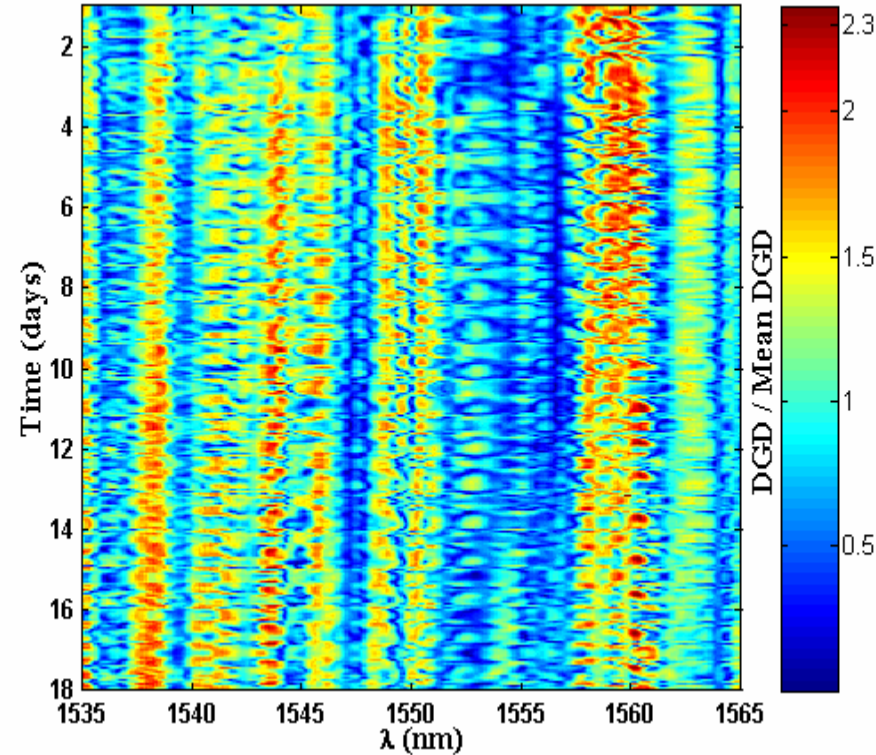
	$3 \cdot \langle DGD \rangle$	$3.7 \cdot \langle DGD \rangle$
Span 1: MTBO	6.39 years	1648 years
Outage duration	136 min	108 min
Span 2: MTBO	3.25 years	833 years
Outage duration	69 min	55 min
Span 3: MTBO	3.96 years	1021 years
Outage duration	84 min	67 min

MTBO = mean time between outages  
 $= 1 / R_{out}$

# TWO-FIBER CONFIGURATION: COLOR MAPS



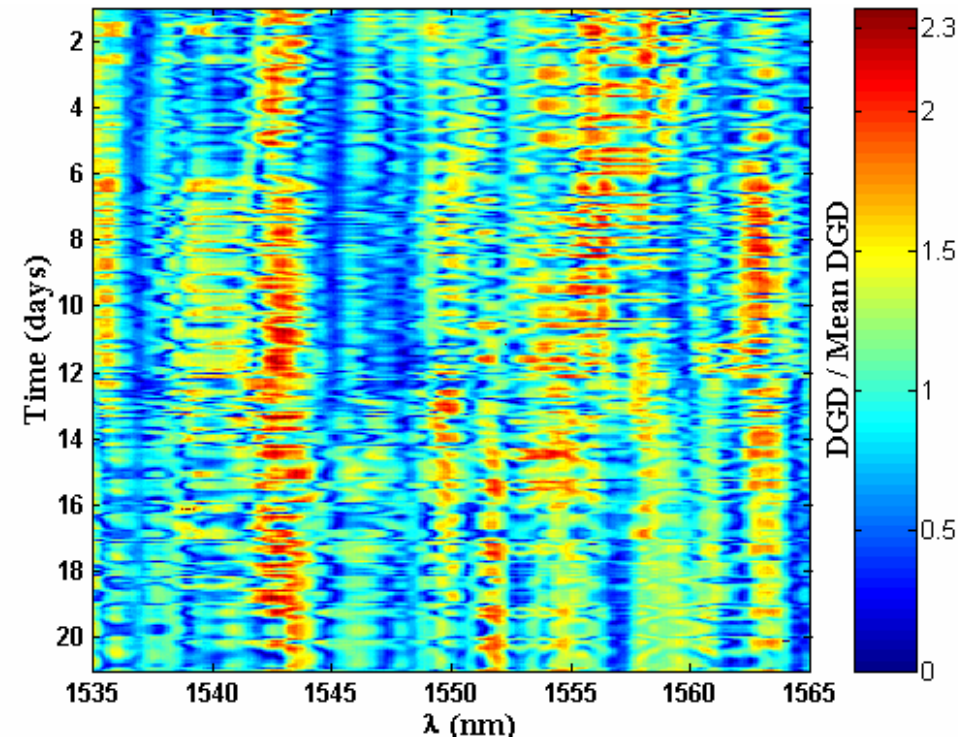
- Wavelength band: 1535-1565 nm
- Spectral resolution: 0.1 nm
- Measurement repetition: once every 23 minutes



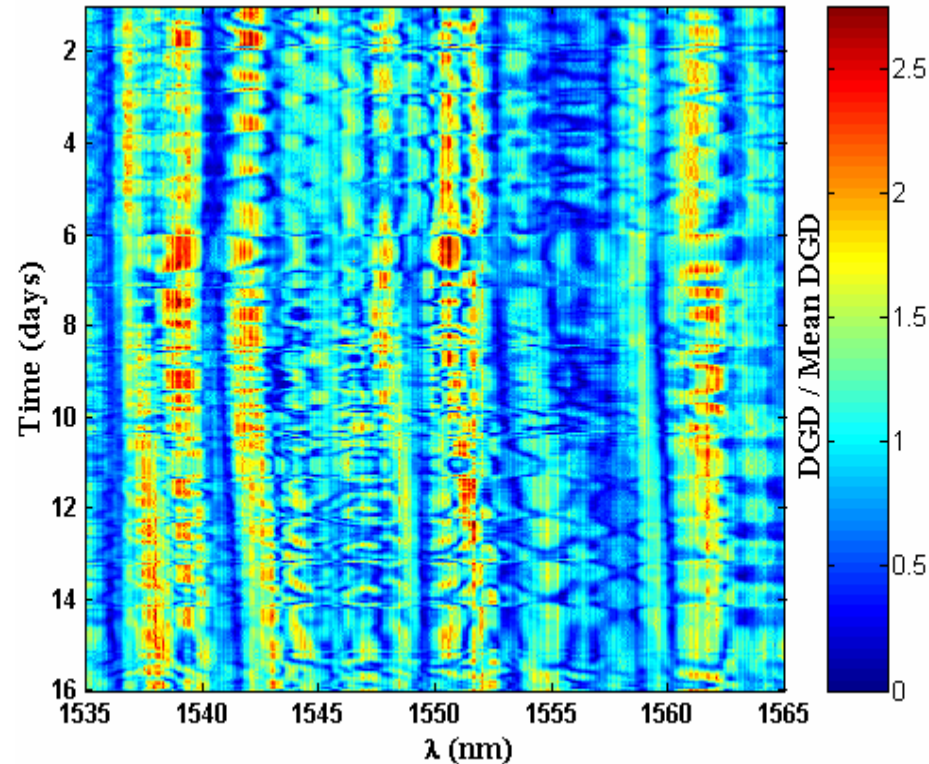
Measured, normalized DGD vs. wavelength and time for fiber spans 1 and 2 concatenated  
(18 days, Aug. 22, 2002 – Sept. 9, 2002)



# COLOR MAPS (cont'd)



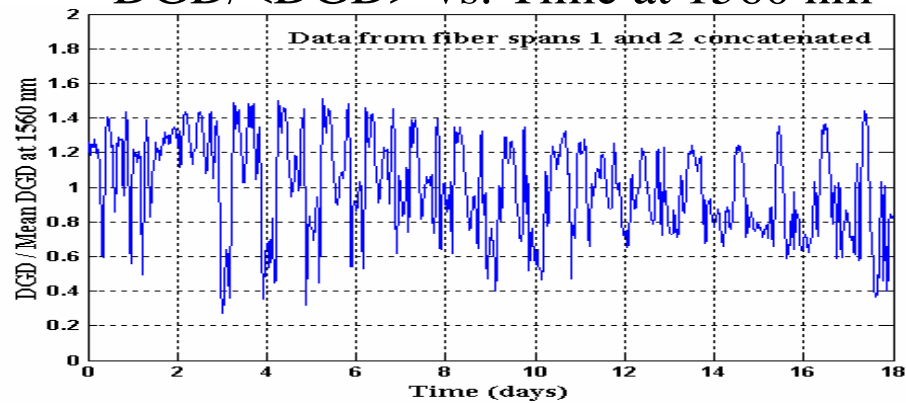
Measured, normalized DGD vs.  
wavelength and time for fiber spans  
2 and 3 concatenated  
(21 days, Aug. 1, 2002 – Aug. 22, 2002)



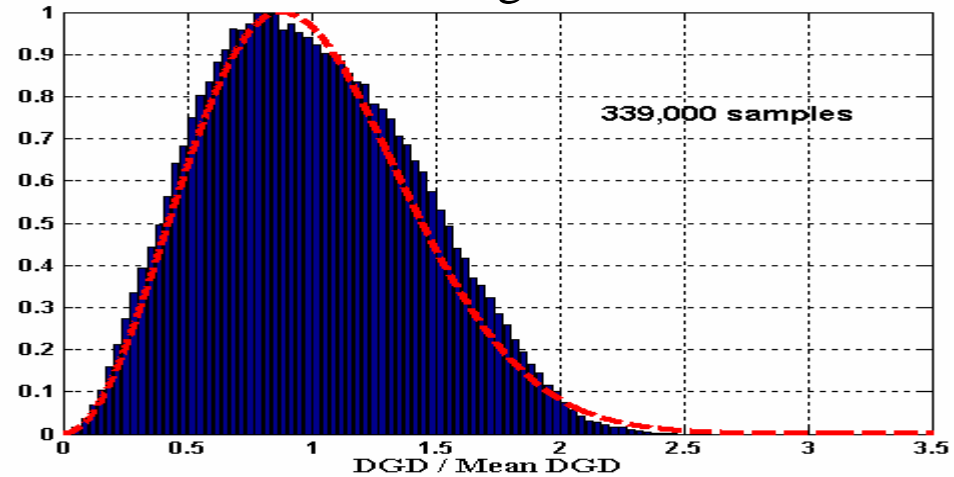
Measured, normalized DGD vs.  
wavelength and time for fiber spans  
1 and 3 concatenated  
(16 days, Sept. 27, 2002 – Oct. 13, 2002)

# DFT PLOT, HISTOGRAM AND MEAN DGD VARIATION FOR SPANS 1&2 CON.

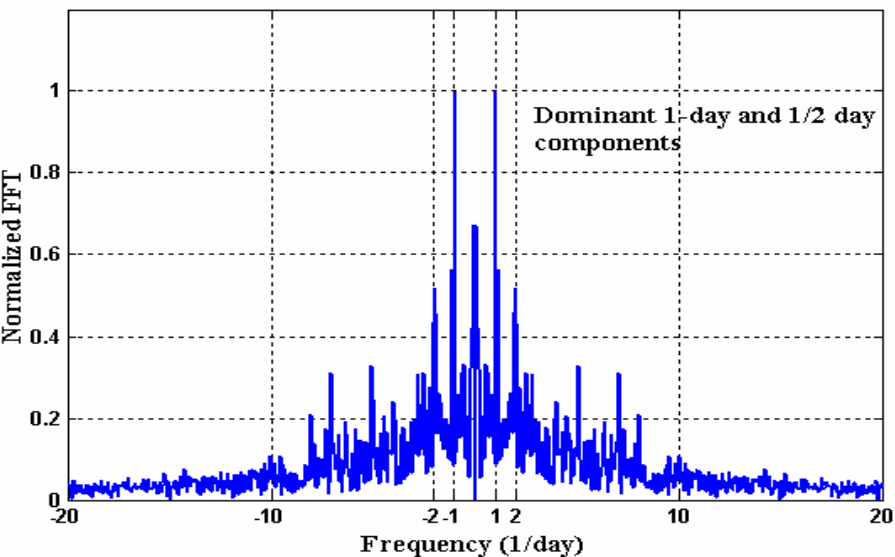
DGD / <DGD> vs. Time at 1560 nm



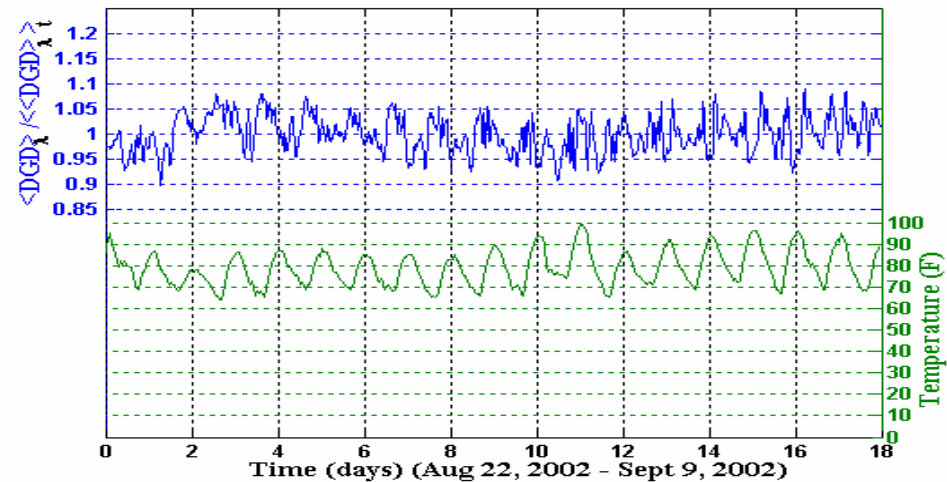
DGD histogram



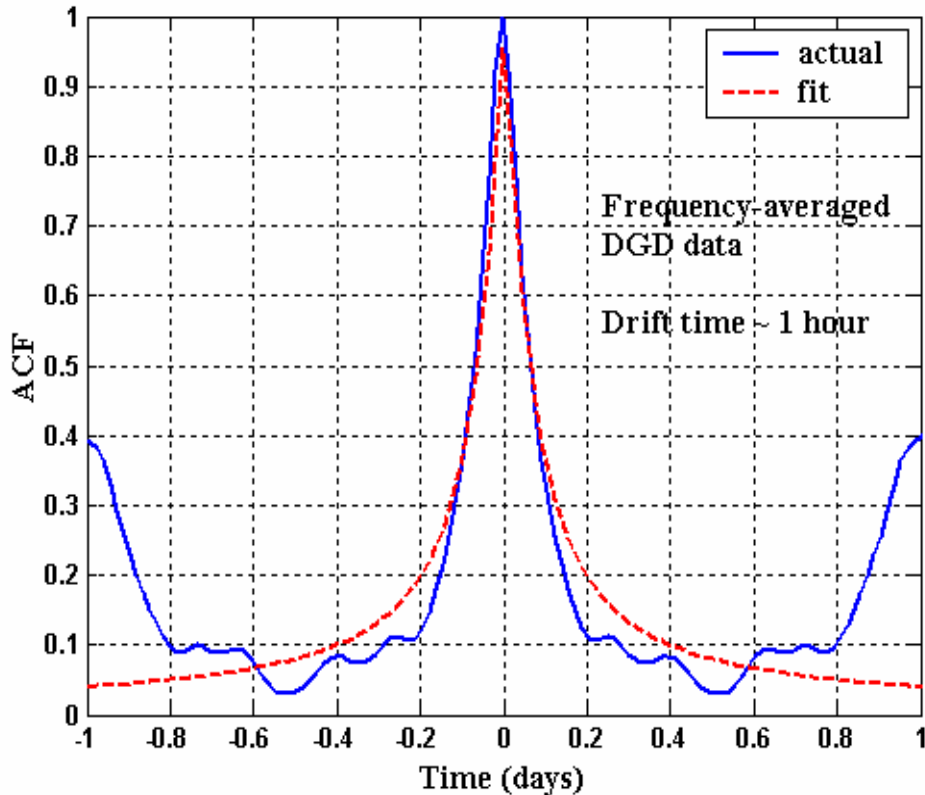
DFT of DGD at 1560 nm



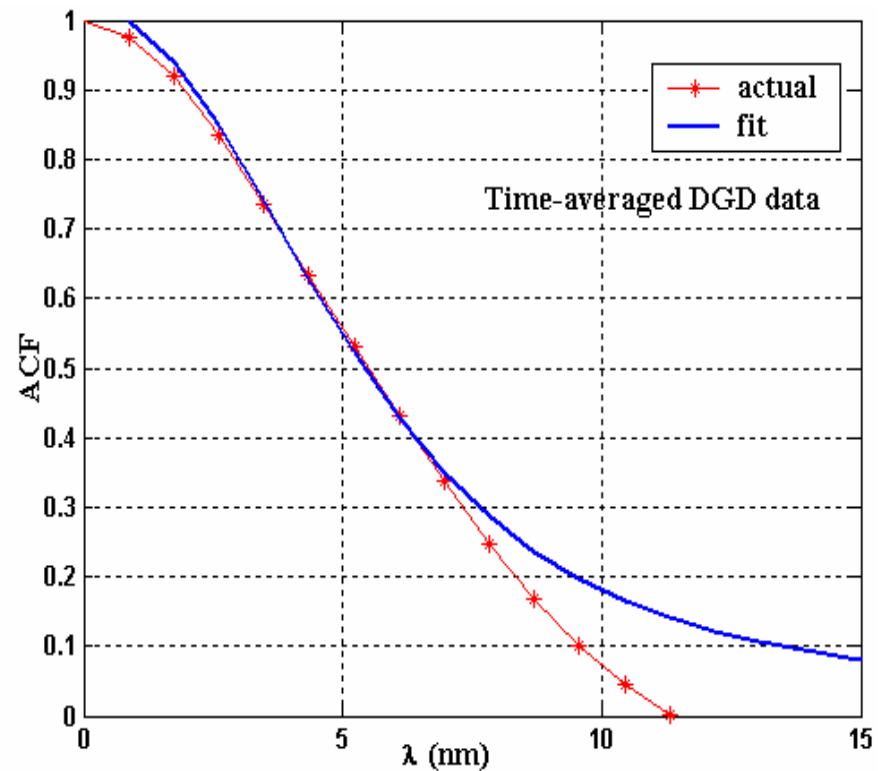
Mean DGD, temperature variation with time



# TEMPORAL AND SPECTRAL ACFs FOR SPANS 1 & 2 CON.



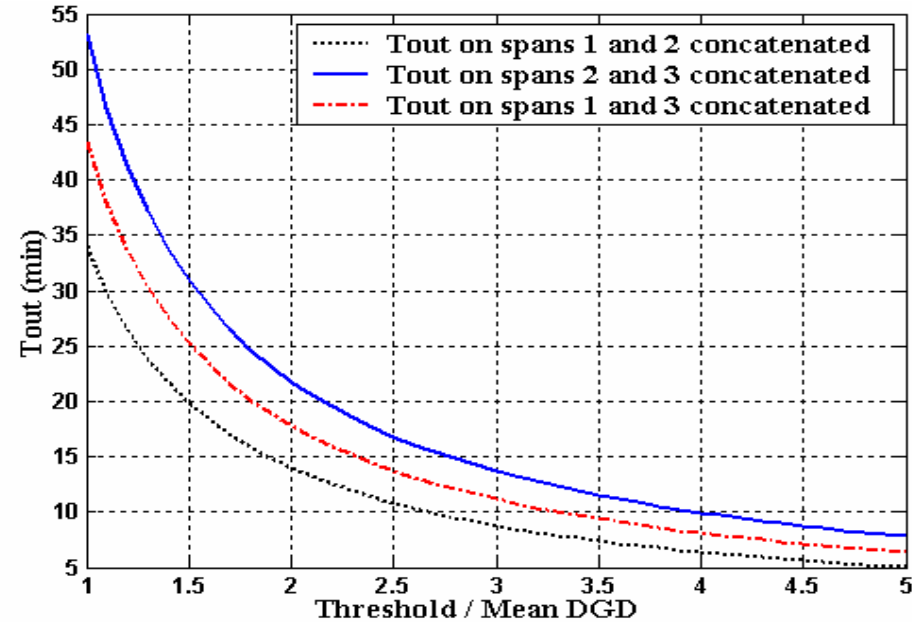
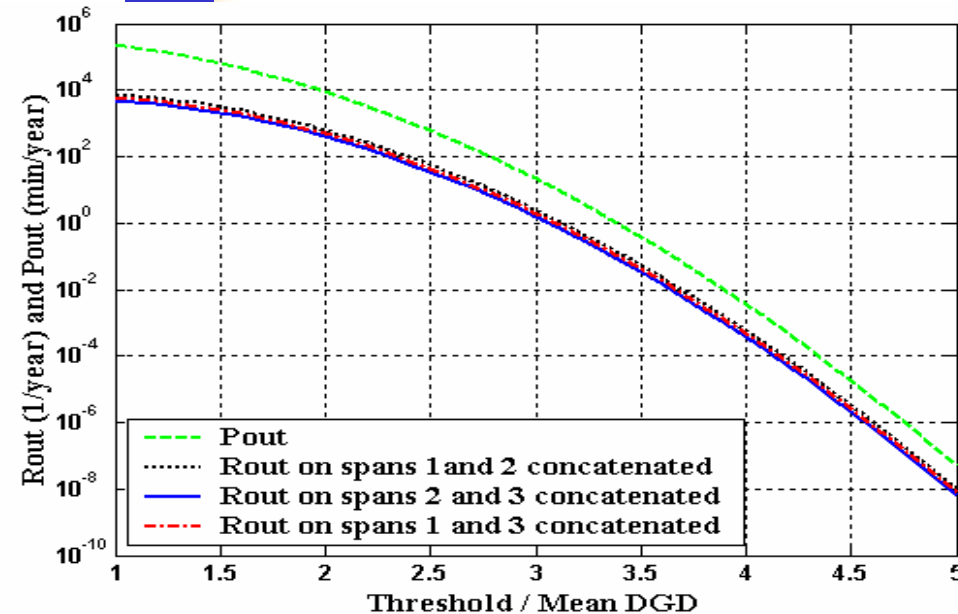
Normalized temporal ACF of  
frequency-averaged DGD data  
on fiber spans 1 and 2 concatenated  
and its theoretical curve-fit



Normalized spectral ACF of  
time-averaged DGD data on  
fiber spans 1 and 2 and its  
adjusted theoretical curve-fit



# SYSTEM OUTAGE ANALYSIS



Calculated  $P_{out}$ ,  $R_{out}$ , and  $T_{out}$  versus threshold/mean DGD for two-fiber configurations

	$3 \cdot \langle DGD \rangle$	$3.7 \cdot \langle DGD \rangle$
Spans 1&2: MTBO	0.413 years	106 years
Outage duration	9 min	7 min
Spans 2&3: MTBO	0.644 years	167 years
Outage duration	14 min	11 min
Spans 1&3: MTBO	0.525 years	135 years
Outage duration	11 min	9 min

MTBO = mean time between outages  
 $= 1 / R_{out}$



# DESIGN RULES BASED ON DGD MARGIN

---

- DGD margin  $M_\tau = \Delta\tau_{RX} / \langle\Delta\tau\rangle$ ;  $\Delta\tau_{RX}$  is receiver's DGD tolerance and  $\langle\Delta\tau\rangle$  is the mean DGD
- For cases where  $M_\tau > 3$ , the frequency of PMD-induced outages will be low, and their duration may be brief
  - Use of reserved protection channels in WDM systems is a viable solution
- For cases where  $M_\tau < 3$ , the frequency of PMD-induced outages will be high with reasonably long duration
  - Use of PMD compensators, alternate modulation formats, or even replacing particular fiber segments may be appropriate



# CONCLUSIONS

---

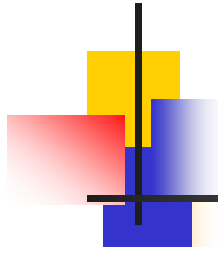
- An automated PMD measurement system was used to make long-term measurements on buried fibers
- Results showed DGD to vary slowly with time and high-DGD episodes to be spectrally localized
- DGD histograms had shapes consistent with Maxwellian
- $\langle \text{DGD} \rangle$  varied by 10 % or less during the measurements
- Drift times of DGD, measured for a long period, agreed with those reported by others, but needs further study
- DGD bandwidths estimated agreed well with those found using the theoretical spectral autocorrelation fits
- Outage analysis showed DGD excursions of  $> 3$  times the  $\langle \text{DGD} \rangle$  to be infrequent and relatively short lived



# FUTURE WORK

---

- Variation of DGD with controlled fiber temperatures could be studied using a temperature chamber
- A detailed study of second-order PMD on buried fibers would be extremely useful
- Discrepancy in temporal autocorrelation analysis should be studied
- It would be interesting to see if the behavior observed on two-fiber configurations is repeatable with buried EDFAs



# QUESTIONS?